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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/654,718	09/05/2000	Dominic Paul McCarthy	SAND-P003	7315

7590 06/29/2005  
IPSG, P.C.  
P.O. Box 700640  
San Jose, CA 95170-0640

EXAMINER
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OPIE, GEORGE L

ART UNIT	PAPER NUMBER
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2194

DATE MAILED: 06/29/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

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<b>Office Action Summary</b>	Application No.		Applicant(s)	
	09/654,718		McCarthy et al.	
	Examiner		Art Unit	
	George L. Opie		2126	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136 (a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).

**Status**

- 1) ☒ Responsive to communication(s) filed on 5 April 2005.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-13 and 26-37 is/are pending in the application.
- 4a) Of the above claim(s) ☐ is/are withdrawn from consideration.
- 5) ☐ Claim(s) ☐ is/are allowed.
- 6) ☒ Claim(s) 1-13 and 26-37 is/are rejected.
- 7) ☐ Claim(s) ☐ is/are objected to.
- 8) ☐ Claim(s) ☐ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on ☐ is/are objected to by the Examiner.
- 11) ☐ The proposed drawing correction filed on ☐ is: a) ☐ approved b) ☐ disapproved.
- 12) ☐ The oath or declaration is objected to by the Examiner.

**Priority under 35 U.S.C. § 119**

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d).
- a) ☐ All b) ☐ Some \* c) ☐ None of the CERTIFIED copies of the priority documents have been:
1. ☐ received.
2. ☐ received in Application No. (Series Code / Serial Number) ☐.
3. ☐ received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

- 14) ☐ Acknowledgement is made of a claim for domestic priority under 35 U.S.C. & 119(e).

**Attachment(s)**

- 14) ☒ Notice of References Cited (PTO-892)
- 15) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 16) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) ☐.
- 17) ☐ Interview Summary (PTO-413) Paper No(s) ☐.
- 18) ☐ Notice of Informal Patent Application (PTO-152)
- 19) ☒ Other: Text Docs for USP5,926,474 USP4,635,189

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## DETAILED ACTION

Responsive to Applicant's arguments filed 5 April 2005, this Office Action vacates the previous rejection.

**1. Request for copy of Applicant's response on floppy disk:**

Please help expedite the prosecution of this application by including, along with your amendment response in paper form, an electronic copy in WordPerfect, Microsoft Word, or in ASCII text format on a 3½ inch IBM format floppy disk. Please include all pending claims along with your responsive remarks. Only the paper copy will be entered -- your floppy disk file will be considered a duplicate copy. Signatures are not required on the disk copy. The floppy disk copy is not mandatory; however, it will help expedite the processing of your application. Your cooperation is appreciated.

2. The U.S. Patents used in the art rejections below have been provided as text documents which correspond to the U.S. Patents. The relevant portions of the text documents are cited according to page and line numbers in the art rejections below. For the convenience of Applicant, the cited sections are highlighted in the *text documents*.

**3. Claim Rejections - 35 U.S.C. § 102**

The following is a quotation of the appropriate paragraphs of 35 U.S.C. § 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

4. Claims 4-11 and 30-35 are rejected under 35 U.S.C. § 102(b) as being anticipated by Bolosky et al. (U.S. Patent 5,926,474).

As to claim 4, Bolosky teaches a method of controlling system operation between a plurality of components coupled to at least one communication medium and at least one scheduler (controller 16 ... for controlling ... data that is to be transmitted over respective networks, p5 23-40) comprising the steps of:

said scheduler sending a first command to a first component to transfer data over said communication medium (token engine includes play start time generator 86 which is part of controller 16 . . . forwards a request to a cub, p7 45-56)

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said scheduler sending a second command to a second component to transfer data over said communication medium (token engine ... allocates play start ... to the next cub of the play sequence in scheduled mode, p7 21-32)

notifying said second component upon completion of said first command (when the cub has completed its sending of a block will the cub pass the token on to the next cub, p6 24-33)

initiating execution of said second command upon completion of said notifying step (ReceivedScheduledToken event is generated ... and the block 156 is passed to the network, p10 32-55).

As to claim 5, Bolosky teaches the sending of a first command and sending of a second command can occur in any order (there are instances wherein the block arrives before the token [thus blocks/commands are not order limited], p11 1-21).

As to claim 6, Bolosky teaches the scheduler establishing an order to send the commands and creating a chain sequence of transfers (In the scheduled mode, the sending of data is scheduled ... for a data sequence, p5 1-9).

As to claim 7, Bolosky (p5 23-40) teaches the "controller 16" that would have been a program run on a microprocessor as recited.

As to claims 8-11, note the rejections of claims 4-7 respectively.

As to claims 30-35, Bolosky teaches "cubs 20A, 20B, 20C, 20D and 22A, 22B, 22C and 22D output their respective blocks over networks 28A and 28B, respectively. The networks 28A and 28B may be part of a single larger network and may take different forms", p6 1-3 which corresponds to the communicating the commands over a first and a second medium as recited.

5. Claims 1-3, 12-13, 26-29 and 36-37 are rejected under 35 U.S.C. § 102(b) as being anticipated by Kendall et al. (U.S. Patent 4,635,189).

As to claim 1, Kendall teaches a method for scheduling communication between a plurality of components coupled to at least one communication medium and at least one scheduling processor (Real-Time Data Management ... by means of well-known remote communication procedures between a local processor and the main processor 1, p3 9-17) comprising the steps of:

initiating a transfer by said scheduling processor (RTDM procedure ... local processor that initiates a request, p4 45-56)

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sending a transfer command to a first component (RTDM procedure ... can request a source processor ... sent to a destination processor, p5 5-18)

transferring data from said first component to a second component over said communication medium (requesting task will designate the destination processor to which the fetched value [from the source] is to be sent, p5 19-44)

said second component notifying a third component upon completion of said transferring data step (acknowledgement of receipt signal being sent to a notification processor, p5 5-18)

wherein said transfer command to said first component identifies said second and said third components (RT Request will specify the source ... will also designate the destination processor ... and indicate a Notification Processor to which the Destination Processor must send an acknowledgment, p5 19-40).

As to claim 2, Kendall teaches that "any combination of the above node types may be combined under the RTDM procedure to effectuate maximum flexibility in user application Programming", p5 9-40, and this would include the implementation of the claim 1 transfer and notification for use in connection with the specified components as recited.

As to claim 3, Kendall teaches the components include a microprocessor executing program code (RTDM procedure runs on the system processor, p7 6-19).

As to claims 12-13, see the discussions of claims 1 and 3 respectively.

As to claims 26-28, Kendal teaches setting an order for the transfers and creating a chain sequence of transfers (combined under the RTDM procedure ... commands can specify source, destination and notification components in a series , including, for example, fourth and fifth processors in a list, p5 9-40).

As to claims 29 and 36-37, Kendall teaches the implementation can be effectuated "by means of well-known remote communication procedures between a local processor and the main processor", p3 10-18 which would inherently include the multiple bus embodiment for increased transfer capacity.

6. The prior art of record and not relied upon is considered pertinent to the applicant's disclosure. Specifically, the below reference(s) will also have relevancy to one or more elements of the Applicant's claimed invention as follows:

U.S. Patent No. 6,212,623 to Witt which teaches the mapping of components-dependencies for concurrent execution scheduling;

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U.S. Patent No. 6,195,744 to Favor et al. which teaches the scheduler distributing command chains to execution units for parallel processing;  
U.S. Patent No. 5,884,060 to Vegesna et al. which teaches the controlling of instruction dispatch to multiple functional units;  
U.S. Patent No. 5,758,051 to Moreno et al. which teaches the scheduler determining command sequence for parallelizing operations; and,  
U.S. Patent No. 5,317,734 to Gupta which teaches the multiple channels for transferring synchronized data.

## **7. Response to Applicant's Arguments:**

Following careful consideration of the claims and further review of the prior art, significant references were found that necessitate new grounds of rejection. Applicant's remarks accompanying the Appeal Brief filed 5 April 2005, were considered, however, they are moot in view of the new grounds of rejection.

During patent examination, the pending claims must be "given their broadest reasonable interpretation consistent with the specification." *In re Hyatt*, 211 F.3d 1367, 1372, 54 USPQ2d 1664, 1667 (Fed. Cir. 2000). Applicant always has the opportunity to amend the claims during prosecution, and broad interpretation by the examiner reduces the possibility that the claim, once issued, will be interpreted more broadly than is justified. *In re Prater*, 415 F.2d 1393, 1404-05, 162 USPQ 541, 550-51 (CCPA 1969)

In considering the communication scheduling and transferring data recitations, it is noted that Applicant uses terminology that has broad meaning in the art, and thus requires a broad interpretation of the claims in determining patentability of the disclosed invention. Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993).

See also *In re Zletz*, 893 F.2d 319, 321-22, 13 USPQ2d 1320, 1322 (1989) "During patent examination the pending claims must be interpreted as broadly as their terms reasonably allow.... The reason is simply that during patent prosecution when claims can be amended, ambiguities should be recognized, scope and breadth of language explored, and clarification imposed.... An essential purpose of patent examination is to fashion claims that are precise, clear, correct, and unambiguous. Only in this way can uncertainties of claim scope be removed, as much as possible, during the administrative process."

Applicant should set forth claims in language that clearly, distinctly, unambiguously and uniquely define the invention.

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In light of the references of record, the coordinating data flow, in the manner recited in the pending claims does not constitute a patentable distinction over the prior art.

## **8. Contact Information:**

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system.

Status information for published applications may be obtained from either Private-PAIR or Public-PAIR.

Status information for unpublished applications is available through Private-PAIR only.

For more information about the PAIR system, see <http://pair-direct.uspto.gov>.

Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

All responses sent by U.S. Mail should be mailed to:

**Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450**

Hand carried responses should be delivered to the *Customer Service Window* (Randolph Building, 401 Dulany Street, Alexandria, Virginia 22314) and, if submitting an electronic copy on floppy or CD, to expedite its processing, please notify the below identified examiner prior to delivery, so that the Applicant can "handoff" the electronic copy directly to the examiner.

The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.


All OFFICIAL faxes will be handled and entered by the docketing personnel. The date of entry will correspond to the actual FAX reception date unless that date is a Saturday, Sunday, or a Federal Holiday within the District of Columbia, in which case the official date of receipt will be the next business day. The

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application file will be promptly forwarded to the Examiner unless the application file must be sent to another area of the Office, e.g., Finance Division for fee charging, etc.

Any inquiry of a general nature or relating to the status of this application should be directed to the Group receptionist at **(571) 272-2100**.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to George Opie at (571) 272-3766 or via e-mail at *George.Opie@uspto.gov*. Internet e-mail should not be used where sensitive data will be exchanged or where there exists a possibility that sensitive data could be identified unless there is an express waiver of the confidentiality requirements under 35 U.S.C. 122 by the Applicant. Sensitive data includes confidential information related to patent applications.



ST. JOHN COURTENAY III  
PRIMARY EXAMINER



**MAIL WITH OFFICE ACTION**

**ATTACHMENT  
FOR PTO-326**

**U.S. PATENT  
5,926,474**

TITLE: Protection against multiple senders in a multipoint to point data funnel

INVENTOR(S): Bolosky, William J., Issaquah, WA, United States  
Bernet, Yoram, Seattle, WA, United States

PATENT ASSIGNEE(S): Microsoft Corporation, Redmond, WA, United States (U.S. corporation)

	NUMBER	KIND	DATE
PATENT INFORMATION:	US 5926474		19990720 <--
APPLICATION INFO.:	US 1996-668651		19960606 (8)
RELATED APPLN. INFO.:	Continuation-in-part of Ser. No. US 1994-280190, filed on 25 Jul 1994, now patented, Pat. No. US 5583868, issued on 10 Dec 1996		

DOCUMENT TYPE: Utility

FILE SEGMENT: Granted

	NUMBER	DATE	CLASS	INVENTOR
REFERENCED PATENT:	US 3781818	Dec 1973	370/538.000	Pardoe et al.
	US 5206638	Apr 1993	340/825.510	Mckeown
	US 5243335	Sep 1993	370/453.000	Kato et al.
	US 5287348	Feb 1994	370/060.100	Schmidt et al.
	US 5339317	Aug 1994	370/085.150	Tanaka et al.
	US 5345447	Sep 1994	370/067.000	Noel
	US 5359600	Oct 1994	370/060.100	Ueda et al.
	US 5426638	Jun 1995	370/450.000	Maruyama et al.
	US 5473362	Dec 1995	348/007.000	Fitzgerald et al.
	US 5497374	Mar 1996	370/450.000	Maruyama et al.
	US 5583868	Dec 1996	370/394.000	Rashid et al.
	US 5606359	Feb 1997	348/007.000	Youden et al.

NON-PATENT REFERENCE: Couloris, George F., and Jean Dollimore, "Distributed Systems--Concepts and Design," Addison-Wesley Publishing Company, 1988, pp. 66, 67, 73, 74.

Newman, Peter "ATM Technology for Corporate Networks," IEEE Communications Magazine, Apr., 1992, pp. 90-101.

Lane, Jim, "Asynchronous Transfer Mode: Bandwidth for the Future," Telco Systems, First Edition, 1992, pp. 1-37.

Breault, et al., (eds.), "ATM User-Network Interface Specification," The ATM Forum, Version 2.4, Aug. 5, 1993, pp. 1-346.

PRIMARY EXAMINER: Swann, Tod R.

ASSISTANT EXAMINER: Tzeng, Fred F.

LEGAL REPRESENTATIVE: Lee & Hayes, PLLC

NUMBER OF CLAIMS: 32

EXEMPLARY CLAIM: 10

NUMBER OF DRAWINGS: 42 Drawing Figure(s); 37 Drawing Page(s)

ABSTRACT:

A system includes protection against multiple senders in a multipoint to point data funnel that connects multiple data sources with a single data sink. The protection is afforded by employing tokens and by adopting a token protocol. In particular, a data source must be in possession of a token in order to send data on to a data funnel. The token exhibits that the data source has permission to output the data to the data funnel. The system may operate in the scheduled mode of operation where the system knows a priori when each data source will send data over the data funnel for a data sequence. The system may also operate in a nonscheduled mode where multiple data sources have data that they wish to send but the schedule of data transmissions from the data sources

is not known a priori. The token protocol is adaptable to both scheduled mode and nonscheduled mode of operation. The token protocol also facilitates regeneration of tokens if tokens do not arrive at a destination that expects a token within a predetermined period of time.

#### REFERENCE TO RELATED APPLICATIONS

This is a continuation-in-part of U.S. patent application Ser. No. 280,190, filed Jul. 25, 1994, which issued as U.S. Pat. No. 5,583,868, on Dec. 10, 1996.

#### TECHNICAL FIELD

The present invention relates generally to computer systems and more particularly to protection against multiple senders in a system that employs a multipoint to point data funnel.

#### BACKGROUND OF THE INVENTION

When a data sequence is to be transmitted from a data source to a destination, there are a number of available alternatives. The first alternative is to transmit the entire data sequence as a continuous stream over a communication path that connects the data source with the destination. Unfortunately, such an approach can prove troublesome when the data sequence is quite large because the transmission may monopolize the communication path. This problem is heightened when the communication path is shared by multiple entities. Another alternative is to divide the data sequence into blocks and to transmit the data sequence a block at a time from the data source to the destination. In some instances, data blocks for the data sequence may be stored on multiple storage devices. A complication that arises in such situations is how to assure that the data blocks are transmitted from the appropriate devices in the proper sequence over the communication path. One possible problem is that multiple storage devices will output blocks of data of the data sequence at the same time.

#### SUMMARY OF THE INVENTION

In accordance with the first aspect of the present invention, a method is practiced in a computer system that has data sources for outputting data to a data sink. A data funnel connects the data sources with the data sink. A home node is provided in the computer system for regulating the output of the data by the data sources to the data funnel. In accordance with this method, a token is requested at a selected one of the data sources from the home node to permit the selected data source to output data to the data sink through the data funnel. A token is forwarded from the home node to the selected data source and data is output from the selected data source to the data sink through the data funnel after the token is received at the selected data source.

In accordance with another aspect of the present invention, a computer system includes a plurality of data servers that are connected to a client via a data funnel. A method is practiced on the computer system wherein the system is operated in a scheduled mode of operation such that data blocks are output from the data servers to the data funnel in a sequence according to a predetermined schedule. This system is also operated in a nonscheduled mode of operation such that data blocks are output in a nonscheduled fashion. Each data server must request and receive permission to output data before the data may be output from the data server in the nonscheduled mode of operation.

In accordance with a further aspect of the present invention, the arrival of a token is awaited at a given data output component for a predetermined period of time. Where the token fails to arrive within the predetermined period of time, a new token is generated at the given data output component. The new token is used to output data from the given data output component to a connection mechanism that connects the given output data component with a destination.

#### BRIEF DESCRIPTION OF THE DRAWINGS

A preferred embodiment of the present invention will be described in more detail below relative to the following figures.

FIG. 1 is a block diagram of a system that is suitable for practicing the preferred embodiment of the present invention.

FIG. 2 is a diagram illustrating the format of a token in accordance with the preferred embodiment of the present invention.

FIG. 3 is a diagram illustrating the format of a data block in accordance with the preferred embodiment of the present invention.

FIG. 4 is a diagram illustrating components of token engine code used in the preferred embodiment of the present invention.

FIG. 5 is a block diagram illustrating components of the token engine of the preferred embodiment of the present invention.

FIG. 6 is a flowchart illustrating the steps that are performed in assigning play start times.

FIG. 7 is a flowchart illustrating the steps that are performed by a filter on blocks that are received by the token engine.

FIG. 8 is a flowchart illustrating the steps that are performed by the filter on tokens that are received by the token engine.

FIG. 9 is a flowchart illustrating the steps that are performed by the filter to determine whether a token is stale.

FIG. 10 is a flowchart illustrating the steps that are performed during normal operation in scheduled mode.

FIG. 11 is a flowchart illustrating the steps that are performed when a block precedes a token in scheduled mode.

FIG. 12 is a flowchart illustrating the steps that are performed when the first block of a scheduled play arrives at the token engine.

FIG. 13 is a flowchart illustrating the steps that are performed when end of file is reached in a scheduled play.

FIG. 14 is a flowchart illustrating the steps that are performed when a client requests the termination of a scheduled play.

FIG. 15 is a flowchart illustrating the steps that are performed when a token fails to arrive in a timely fashion in scheduled mode.

FIG. 16 is a flowchart illustrating the steps that are performed after a block has been discarded and a token has not yet been received in scheduled mode.

FIG. 17A is a flowchart illustrating the steps that are performed when an update timer event is generated and a standard state machine is in WaitingForBlockAndToken state.

FIG. 17B is a flowchart illustrating the steps that are performed when an update timer event is generated and the standard state machine is in the WaitingForBlock state.

FIG. 17C is a flowchart illustrating the steps that are performed when an UpdatePST event is received while the standard state machine is in a WaitingForToken state.

FIG. 17D is a flowchart illustrating the steps that are performed when an UpdatePST event is generated while the standard state machine is in a BlockDiscardedWaitingForToken state.

FIG. 18 is a block diagram illustrating data flow when a home node protocol of the preferred embodiment of the present invention is being executed.

FIG. 19 is a flowchart illustrating the steps that are performed during normal operation of the standard state machine in nonscheduled mode.

FIG. 20 is a flowchart illustrating the steps that are performed when a block due timer (BDT) expires in the nonscheduled mode of operation.

FIG. 21 is a flowchart illustrating the steps that are performed when a token is received at a cub and the standard state machine is in a WaitingForBlockAndToken state.

FIG. 22 is a flowchart illustrating the steps that are performed when a cub is awaiting a token from a home node and a home node change occurs.

FIG. 23 is a flowchart illustrating the steps that are performed when a home node change occurs while a nonscheduled send is in progress.

FIG. 24A is a flowchart illustrating the steps that are performed when an UpdatePST event is generated when the standard state machine is in a WaitingForTokenFromHome state.

FIG. 24B is a flowchart illustrating the steps that are performed when an UpdateTimer event is generated while a nonscheduled send is in progress.

FIG. 25 is a flowchart illustrating the steps that are performed during normal operation of the home node state machine.

FIG. 26 is a flowchart illustrating the steps that are performed when a home node crashes.

FIG. 27 is a flowchart illustrating the steps that are performed at a cub when a home node change occurs.

FIG. 28A is a flowchart illustrating the steps that are performed at a new home node when a NonscheduledTokenHeldMessage is received.

FIG. 28B is a flowchart illustrating the steps that are performed when a request for a token is received at a new home node while the new home node is still operating in scheduled mode.

FIG. 29 is a flowchart illustrating the steps that are performed when a NonscheduledTokenHeld message is received by a home node that is in a WaitingToGenerate state.

FIG. 30 is a flowchart illustrating the steps that are performed when a token is received by a home node state machine that is in a ScheduledMode state.

FIG. 31 is a flowchart illustrating the steps that are performed when a token is received while the home node state machine is in a WaitingToGenerate state.

FIG. 32 is a flowchart illustrating the steps that are performed when a token generation timer (TGT) expires for a home node that is in the HomeWaitingForToken state.

FIG. 33 is a flowchart illustrating the steps that are performed by a home node state machine when an UpdatePST event is generated.

FIG. 34 is a flowchart illustrating the steps that are performed when an UpdateTGT event is generated while a home node state machine is in a HomeHoldingToken state.

FIG. 35 is a flowchart illustrating the steps that are performed when a scheduled token is received while the standard state machine is in a WaitingForNonschedSendComplete state.

FIG. 36 is a flowchart illustrating the steps that are performed when a scheduled token is received at the standard state machine while the standard state machine is in the WaitingForTokenFromHome state.

FIG. 37 is a flowchart illustrating the steps that are performed when a nonscheduled block is submitted to the standard state machine while the standard state machine is in a BlockDiscardedWaitingForToken state.

#### DETAILED DESCRIPTION OF THE INVENTION

The preferred embodiment of the present invention provides protection against multiple senders in a multipoint to point data funnel that connects multiple data sources with a single data sink. The protection is afforded by employing tokens and by adopting a token protocol. A data source or data output component must be in possession of or own a token in order to send data onto a data funnel. Thus, the token serves as evidence of permission to output data. Protection against multiple senders is largely achieved by ensuring that in most instances the token is possessed by at most one data source at a time, and by passing the token on to a next data source only after completion of the sending of data. The preferred embodiment of the present invention does not provide absolute protection against multiple senders but rather will tolerate multiple senders in certain situations. Nevertheless, the preferred embodiment of the present invention prevents deadlocks from arising in the sending of data over data funnels.

The protocol adopted by the preferred embodiment of the present invention facilitates a scheduled mode of operation and a nonscheduled mode of operation.

In the scheduled mode, the sending of data is scheduled such that the system knows a priori when each data source will send data over a data funnel for a data sequence. In contrast, in nonscheduled mode, multiple data sources have data that they wish to send, but it is not known a priori which data source will send data and when each data source will send data. In general, each data source wishes to send out its data as quickly as possible. As will be described in more detail below, the preferred embodiment of the present invention provides separate state machines for the respective scheduled and nonscheduled modes.

The preferred embodiment of the present invention provides a mechanism for regenerating tokens if tokens do not arrive at a destination that expects a token within a predetermined period of time. The preferred embodiment of the present invention seeks to minimize late delivery and to be robust. The ability to regenerate tokens if the tokens do not arrive within a fixed period of time helps to ensure timely delivery and bolster the robustness of the system.

#### System Overview

FIG. 1 is a block diagram of a system 10 that is suitable for practicing the preferred embodiment to the present invention. The system is generally suitable for providing data sequences from servers to destinations. For example, the system may provide a video on demand service or other real-time subscriber services. The system 10 includes two subsystems 12 and 14. Although two subsystems 12 and 14 are depicted in FIG. 1, those skilled in the art will appreciate that the present invention may be practiced with a single subsystem or in a system that employs more than two subsystems. The choice of two subsystems is intended to be merely illustrative.

Each subsystem 12 and 14 includes a controller 16 and 18 for controlling the operation of data servers 20A, 20B, 20C, 20D and 22A, 22B, 22C, 22D, respectively. These data servers may be conventional file servers or other computer systems. The data servers are referred to hereinafter as "cubs." The depiction of four cubs per controller is merely for illustrative purposes. The present invention is not limited to the use of four cubs per controller. Each cub 20A, 20B, 20C, 20D, 22A, 22B, 22C and 22D has an associated storage 24A, 24B, 24C, 24D, 26A, 26B, 26C and 26D. The storage need not consist of a single storage device but rather may include multiple storage devices. Moreover, the form of the storage device may vary. For example, the storage devices may be magnetic disk drives, optical disk drives or other types of storage devices. The storages 24A, 24B, 24C, 24D and 26A, 26B, 26C, 26D hold data that is to be transmitted over respective networks 28A and 28B to respective clients 30A, 30B, 30C and 32A, 32B, 32C. The data may be any of a number of different types, including software. In the preferred embodiment to the present invention, the data is multimedia data, such as an audio visual sequence.

In order to provide parallelism and load balancing among clients, the preferred embodiment to the present invention stores its data across multiple ones of the storages 24A, 24B, 24C, 24D and 26A, 26B, 26C and 26D. In particular, data is striped across the storages for a group of cubs that share a common controller such that successive sequential blocks of an audiovisual data sequence are stored on successive storage devices in a predefined sequence. Hence, a first block of a data sequence may be stored on storage 24A under the control of cub 20A. A next block may be stored on storage 24B under control of cub 20B and a third sequential block may be stored on storage 24C under the control of cub 20C. A fourth sequential block may be stored on storage 24D under the control of cub 20D and a fifth block may be stored on storage 24A under the control of cub 20A. Successive blocks are striped in a like fashion. In the preferred embodiment to the present invention, the blocks are fixed size in order to simplify management of the system 10. Nevertheless, the present invention may also be practiced with instances where the blocks are variable sized.

The cubs 20A, 20B, 20C, 20D and 22A, 22B, 22C and 22D output their respective blocks over networks 28A and 28B, respectively. The networks 28A and 28B may be part of a single larger network and may take different forms. One suitable form for the networks 28A and 28B is an asynchronous transfer mode (ATM) network that employs ATM switches. The data blocks from the cubs 20A, 20B, 20C, 20D and 22A, 22B, 22C and 22D are combined by the switches in the respective networks 28A and 28B to produce a single continuous output data stream that is passed to a client. The switches create a multipoint to point data funnel that delivers data to a single point. An example is helpful to illustrate the data funnel concept. Suppose that the system 10 shown in FIG. 1 is used to provide video on demand service to a subscriber. The movie that a subscriber wishes to view constitutes an audio/visual data sequence that is striped across a number of storage devices. Suppose that client 30A is a subscriber to the video on demand service and wishes to view a movie that is striped across storages 24A, 24B, 24C and 24D. In a first time interval, a block of data for the movie stored on storage 24A is output by cub 20A to the network 28A. In a next time period, a next block of the movie stored on storage 24B is output by cub 20B to the network 28A. Similarly, in a next time period, a block of the movie stored on storage 24C is output by cub 20C to the network 28A. The ATM switches within the network 28A combine the data from multiple data sources to deliver the data in a single continuous sequence to the client 30A.

The above, described approach assumes that, in general, only one of the cubs will output a block of the data sequence at a time. In addition, the above approach assumes that the data blocks will be passed to the network in the order in which they are to be received by the client. If multiple senders send blocks of the data sequence in an overlapping or concurrent fashion, problems arise. The protocol and the use of tokens help to eliminate such problems. A cub must possess or own a token for the outputting of a data sequence before it may output a block of the data sequence. Only when the cub has completed its sending of a block will the cub pass the token on to the next cub that is to output a data block of the data sequence.

FIG. 2 shows a diagram of the format of a token 34. In the preferred embodiment of the present invention, the token is implemented as a data structure having multiple fields. Those skilled in the art will appreciate that the token need not be implemented with the format depicted in FIG. 2 but may also be implemented using other formats. Furthermore, the token need not be implemented as a data structure but rather may be implemented as a message or other alternative.

The token 34 includes a field 36 that holds a universal identifier (UID) of the viewer (i.e., client) which is to receive the data of the data sequence associated with the token. The token also includes a field 38 that holds the play start time for the data sequence. The play start time is the time at which the client's request for the data is received at the controller. The token 34 holds a field 40 that holds the token generated time, which identifies the time at which the token was created. The value held in field 40 is used to determine whether the token is stale or not, as will be described in more detail below. Field 42 of the token 34 holds a destination I.D. that is mappable to a server address. Field 44 holds a value for the lease period for the token which indicates the duration of time for which a token is leased out to a cub when operating in nonscheduled mode. Field 46 holds a number of different flags, and field 48 holds an identifier (I.D.) of the cub which created the token. The flags field 46 of the token 34 include flags that designate whether the token is for scheduled mode or nonscheduled mode, whether the token is destined for a home node or standard state machine and whether the token is retired.

FIG. 3 depicts the format for a data block 50. Each data block 50 includes a header 52 and a data portion 54. The header 52 holds header information, whereas the data portion 54 holds the data for the data block. The header 52

includes a field 56 that holds the play start time for the data sequence in which the data block 50 is included. Field 58 of the header 52 holds the due time at which the block must be delivered to the network. Field 60 of the header 52 specifies whether the block is a mirrored block or not. The use of mirroring will be described in more detail below. Field 62 of the header specifies whether the block is the first block of a new play sequence, and field 64 holds an identifier for the next cub which is to output a block in the data sequence. Field 65 holds a flag that indicates whether the block is a last block of a scheduled play. Field 67 holds a value that specifies the current time that is used to synchronize time between the user level and the kernel level, which will be described in more detail below.

The preferred embodiment of the present invention is implemented largely by a token engine (which will be described in more detail below). As shown at FIG. 4, the token engine code 66 may be partitioned into user level code 68 and kernel code 70. With respect to the preferred embodiment of the present invention, code in the token engine relating to scheduling 72 is part of the user level code 68, while code in the token engine related to networking 74 is stored within the kernel 70.

Each data funnel to a client has a token state that is independent of other data funnels. As such, there is a separate token state for each data funnel. The token engine is implemented primarily by code in the kernel 70 on the cubs. An identical copy of the Kernel code 70 of the token engine runs on each of the cubs for each data funnel in the system. As mentioned above, the user mode portion 68 of the token engine code is run on cubs and largely allocates play start times that are used to differentiate which user request was responsible for a send on a cub. The role of the token engine is to receive a data block and to send the data block to the data funnel while possessing or owning the token. The token engine subsequently forwards the token to the next cub of the play sequence in scheduled mode or returns the token to a home node in nonscheduled mode.

FIG. 5 is a block diagram that depicts the basic structure of the token engine. The token engine includes a filter 76 for filtering out stale tokens or blocks that have been superseded by some action by the user or token engine. The filter 76 is connected to a standard state machine 78 and a home node state machine 80. Tokens are passed to the state machines 78 or 80 based on their specified destination I.D. The standard state machine includes a scheduled state machine 82 and a nonscheduled state machine 84. The scheduled state machine 82 and the nonscheduled state machine 84 may be implemented as separate state machines rather than as part of a single state machine. The scheduled state machine 82 is active in the scheduled mode of operation and the nonscheduled state machine is active in the nonscheduled mode of operation. The state machines 78 and 80 make transitions between states based upon events. The state machines take actions, such as sending a block to the network or forwarding a token to another cub, as they transition between states.

The token engine includes a play start time generator 86 that generates play start times for clients. In the preferred embodiment, the play start time generator 86 is part of the controller 16 or 18, but those skilled in the art will appreciate that the play start time generator 86 may be implemented in a distributed fashion. Each time that a user starts a scheduled play or switches from scheduled mode to nonscheduled mode, the play start time generator 86 updates the play start time for that client. The play start time generator 86 does not directly inform cubs of an updated play start time for a client. Instead, new play start times are lazily propagated to the cubs in that time the controller 16 or 18 specifies the current play start time when it forwards a request to a cub to implement a call from a client, such as a request to start playing. The cub uses the specified play start time in requests that it



makes and this results in the filter's copy of the play start time being updated, as will be described in more detail below.

FIG. 6 is a flowchart illustrating the steps that are performed by the preferred embodiment of the present invention in assigning play start times by the play start time generator 86. Initially, either a scheduled play has started (step 94 in FIG. 6) or a switch has been made from nonscheduled play mode to scheduled play mode (step 96 in FIG. 6). The play start time generator 86 then determines whether any time has elapsed, as measured by an internal clock that tracks the current time, since the last play start time was generated (step 98 in FIG. 6). If time has elapsed, the play start time is set equal to the current clock reading (step 100 in FIG. 6). In contrast, if no time has elapsed, the play start time is set equal to the most recently issued play start time plus a small increment that is of much smaller granularity than the clock's smallest measure of time (step 102 in FIG. 6). In an alternative embodiment, the play start is replaced by a strictly increasing serial number. As was mentioned above, the role of the filter 76 is to process blocks 88 and tokens 90 to detect stale blocks and tokens and to discard such stale blocks and tokens. The filter 76 discards stale tokens and returns stale blocks 92 to their source. Before any of the state machines 78 and 80 may act on a token or block, the token or block must pass through the filter 76. This ensures that stale tokens and blocks do not cause undesirable actions to occur in the state machines 78 and 80.

FIG. 7 is a flowchart illustrating the steps that are performed by the filter 76 in processing incoming blocks 88. A block 88 is received at the filter 76 that has been retrieved from the storage associated with the cub on which the token engine is largely running (step 104 in FIG. 7), and the filter determines whether the play start time in field 56 of the header 52 of the block is more current than the latest play start time recorded at the filter 76 (step 106 in FIG. 7). If the play start time in the block is more current, the filter 76 records the new play start time and generates events for updating the state machines 78 and 80 (step 108 in FIG. 7). As will be described in more detail below, the filter 76 generates an UpdatePST event. The filter 76 then determines whether or not the block is stale (step 110 in FIG. 7). The test for determining whether or not a block is stale is quite straightforward. Specifically, the play start time of the data block is compared to the play start time recorded at the filter 76. If the block is determined to be stale, the block is discarded by returning it to its source (step 112 in FIG. 7). If the block is not stale, it is forwarded to the appropriate state machine (step 114 in FIG. 7).

As mentioned above, the filter 76 is also used to filter tokens. FIG. 8 is a flowchart of the steps performed by the filter 76 to filter tokens. First, the token 90 is received by the filter 76 (step 116 in FIG. 8). If the data funnel is operating in scheduled mode, the token is likely received from another cub that has forwarded the token after completing the sending of a data block. If the data funnel is operating in nonscheduled mode, the token is likely received from a home node. The filter 76 then compares the play start time of the token held in field 38 with the play start time recorded at the filter to determine whether the play start time of the token is fresher than the play start time recorded at the filter (step 118 in FIG. 8). If the play start time of the token is fresher, the play start time recorded at the filter 76 is updated and the state machines 78 and 80 are informed of the updated play start time by triggering an UpdatePST event (step 120 in FIG. 8). If the play start time of the token is not fresher (in step 118), it is determined whether the play start time (PST) of the token is stale (step 121 in FIG. 8). The play start time of the token is stale if it is older than the play start time maintained at the filter. If the play start time of the token is stale, the token is discarded (step 128 in FIG. 8) and no further action is taken. Where the play start time

of the token is not stale, the filter 76 determines whether the token generated time stored in field 40 of the token is fresher than a token generated time stored at the filter 76 (step 122 in FIG. 8). The filter 76 stores a most recent token generator time and uses these as a comparison value to be applied in step 122. If the token generated time of the token is fresher than the recorded token generated time stored at the filter 76, the value held at the filter is updated and the state machines 78 and 80 are informed of the updated token generated time by generating an UpdateTGT event (step 124 in FIG. 8). The filter 76 next determines whether the token is stale (step 126 in FIG. 8). If the token is determined to be stale, the token is discarded (step 128 in FIG. 8). The steps performed to determine whether the token is stale in step 126 will be described in more detail below. If the token is determined not to be stale, it is forwarded to the appropriate state machine (step 130 in FIG. 8).

FIG. 9 is a flowchart illustrating the steps that are performed to determine whether or not a token is stale in step 126 in FIG. 8. First, the filter 76 determines whether the token generated time of the token is older than the token generated time recorded at the filter (step 136 in FIG. 9). If the token generated time is older, the token is determined to be stale (step 134 in FIG. 9). On the other hand, if the token generated time of the token is not older than the token generated time recorded at the filter 76, the filter determines whether the identification of the cub that created the token as specified by field 48 is smaller than the anticipated identification of the creating cub. The filter 76 records identification values for cubs that generate tokens. These identifications are assigned in an increasing fashion and help to disambiguate two versions of a token that are generated by two different cubs at the same time. Thus, if in step 138 it is determined that the cub that generated the token has too small of an identifier, the token is determined to be stale. Otherwise, the token is determined to not be stale (step 140 in FIG. 9).

#### Scheduled Mode

As mentioned above, data blocks of a data sequence may be transmitted to a client over a data funnel in scheduled mode. In such situations, each cub that has a block to transmit in the data sequence uses the scheduled state machine 82 of the standard state machines 78 to properly direct the block to the client. The scheduled state machine 82 of the standard state machine 78 includes a set of events that trigger transition between states and a set of actions that are taken as a result of the transitions. The events that drive the scheduled state machine 82 are as follows:

#### Events

ScheduledBlockSubmit; UpdateTGT;  
1stScheduledBlockSubmit; BlockDueTimerExpiry;  
ReceivedScheduledToken; TokenGenerateTimerExpiry; and  
SendComplete; TokenStaleTimerExpiry.  
UpdatePST;

The ScheduledBlockSubmit event indicates that a block has been submitted to the state machine. The 1stScheduledBlockSubmit event indicates that the first block of a play sequence has been submitted to the state machine. The ReceivedScheduledToken event indicates the reception of a token at the state machine. The SendComplete event indicates the completion of the sending of a block to the network. The UpdatePST event indicates that the play start time has been superseded. Similarly, the UpdateTGT event indicates that the token generated time has been superseded. The BlockDueTimerExpiry, TokenGenerateTimerExpiry and TokenStaleTimerExpiry events indicate the expiration of associated timers.

The states that the scheduled state machine 82 may assume during scheduled play are as follows:

#### States

WaitingForBlockAndToken;  
WaitingForToken;  
WaitingForBlock;  
WaitingForSendComplete; and  
BlockDiscardedWaitingForToken.

The WaitingForBlockAndToken state is the default state which indicates that the state machine is waiting for both a block and a token to arrive. The WaitingForToken state indicates that the state machine is waiting for a token and the WaitingForBlock state indicates that the state machine is waiting for a block. The WaitingForSendComplete state indicates that the state machine is waiting for the network to complete sending a block. Lastly, the BlockDiscardedWaitingForToken state indicates that the state machine is waiting to see whether a late arriving token will arrive after discarding a data block. The actions that are performed during the transition between states are as follows:

#### Actions

Generate a new token;  
Pass a block to the network for sending;  
Pass a token to the network for forwarding;  
Return a buffer;  
Discard an existing token; and  
Set/Cancel a timer.

State transitions for each of the state machines provided by the preferred embodiment of the present invention are depicted in tables that are set forth in the attached appendix. Each of the state tables has a row that is labeled with possible states and the columns are labeled with possible events. In the cell corresponding to the row and column combinations specify an action and possibly a new state. Cells that hold an "illegal" value mean that the given event can never occur in a state. A "no action" value in a cell indicate that the event causes neither an action nor a state change to occur but is not illegal.

FIG. 10 is a flowchart illustrating the steps that are typically performed in the normal process of sending a scheduled block from a cub. Initially, the scheduled state machine 82 is in the WaitingForBlockAndToken state (step 142 in FIG. 10). Typically, a token arrives before the data block. The token is passed through the filter 76 and, assuming the token is not stale, a ReceivedScheduledToken event is generated (step 144 in FIG. 10). The generation of this event causes a token stale timer (TST) to be set and causes the scheduled state machine 82 to transition to the WaitingForBlock state (step 146 in FIG. 10). The TST is a timer that is set at a cub to indicate that the token is stale if a block does not arrive within a predetermined period of time. The predetermined period of time is the amount of time to which the TST is set. In the normal course of processing, the block arrives in a timely fashion before the TST expires, and the block is passed through the filter 76. Assuming that the block is not stale, it passes through the filter and a ScheduledBlockSubmit event is generated (see step 148 in FIG. 10). The TST is canceled due to the arrival of the block and the block 156 is passed to the network. The scheduled state machine 82 transitions to the WaitingForSendComplete state (step 150 in FIG. 10). The network 28A or 28B completes the sending of the block to the client and a SendComplete event (note completions 158 in FIG. 5) is generated (step 152 in FIG. 10). The token is then forwarded to the next cub that is to send a data block from the data sequence to the designated client. The buffer memory is returned and the scheduled state machine 82 transitions back to the WaitingForBlockAndToken state (step 154 in FIG. 10).

As mentioned above, typically the token arrives before the block at the state machine 78. Nevertheless, there are instances wherein the block arrives before

the token. FIG. 11 is a flowchart illustrating the steps that are performed in such an instance. Initially, the state machine 78 is in the WaitingForBlockAndToken state (step 160 in FIG. 11). The data block arrives and passes through the filter (assuming that the data block is not stale) and a ScheduledBlockSubmit event is generated (step 162 in FIG. 11). The generation of this event causes the block due timer (BDT) to be set for the latest possible valid send time for the block and the scheduled state machine 82 transitions into the WaitingForToken state (step 164 in FIG. 11). The BDT is a timer that is set to make certain that the block is sent after its due time. The BDT is set by the latest valid send time to give the system the maximum amount of time for the token to arrive before having to return the data block. The token subsequently arrives and passes through the filter 76. A ReceivedScheduledToken event is generated (step 166 in FIG. 11). The BDT is canceled because the token has arrived, and the block is passed to the network. The scheduled state machine 82 transitions to the WaitingForSendComplete state (step 168 in FIG. 11). The send completes and a SendComplete event is generated (step 170 in FIG. 11). The token (note 156 in FIG. 5) is then forwarded to the next cub that is due to send a data block of the data sequence, and buffer memory is returned. The scheduled state machine 82 transitions back to the WaitingForBlockAndToken state (step 172 in FIG. 11).

At the beginning of a scheduled play, the steps that are performed will differ slightly from those described above. FIG. 12 is a flowchart that illustrates the steps that are performed in such an instance. The scheduled state machine 82 begins in the WaitingForBlockAndToken state (step 174 in FIG. 12). The first block of the scheduled play arrives and a IstScheduledBlockSubmit event is generated (step 176 in FIG. 12). The first block of new play flag 62 (FIG. 3) is set for the submitted block. A new token is generated in response to receiving the first block (step 178 in FIG. 12). The play start time field 38 is filled with the play start time value held in field 56 of the header 52 of the data block that has been submitted. The token generated time held in field 40 of the token is set to the current time. The scheduled state machine 82 sends a message to the designated home node to inform the home node of the updated play start time in order to stop any stale outstanding nonscheduled operations (step 180 in FIG. 12). Home nodes will be described in more detail below in the discussion of the nonscheduled mode of operation. The data block is then passed to the network and the scheduled state machine 82 transitions to the WaitingForSendComplete state (step 182 in FIG. 12). The send completes and a SendComplete event is generated (step 184 in FIG. 12). The token is then forwarded to the next cub, buffer memory is returned and the scheduled state machine 82 transitions to the WaitingForBlockAndToken state (step 186 in FIG. 12).

Eventually during the course of a scheduled play, an end of file is reached, indicating that all of the data of the data sequence has been output. FIG. 13 is a flowchart illustrating the steps that are performed in such an instance during scheduled play mode. Initially, the last block of the data sequence is submitted to the scheduled state machine 82, and the scheduled state machine is informed of the end of file (step 188 in FIG. 13). Assuming that the scheduled state machine has a token, normal processing occurs (see steps 150 and 152 in FIG. 10) to send the block over the network and the send completes (step 190 in FIG. 13). The token is then discarded (step 192 in FIG. 13). After the send completes, the scheduled state machine 82 returns to the WaitingForBlockAndToken state.

A play sequence may also be stopped by explicit client (i.e., user) request. FIG. 14 is a flowchart illustrating the steps that are performed in such an instance. The client requests a play stop (step 194 in FIG. 14). In response, blocks are no longer submitted to the scheduled state machine 82 (step 196 in FIG. 14). Since the TST has been set and no block has arrived but a token has

arrived (i.e., the state machine is in the WaitingForBlock state), the TST expires and a TokenStaleTimerExpiry event is generated (step 198 in FIG. 14). In response to this event, the token is discarded and the scheduled state machine 82 transitions to the WaitingForBlockAndToken state (step 200 in FIG. 14).

A token for a scheduled play may not arrive as expected at the state machine 78 for a number of reasons. For example, the preceding cub may crash, the token may be lost or unduly delayed, or the preceding block may not be properly submitted. FIG. 15 is a flowchart illustrating the steps that are performed in such instances. Initially, the state machine is in the WaitingForBlockAndToken state (step 202 in FIG. 15). The data block is then submitted to the scheduled state machine 82 and the BDT expires due to the failure of the arrival of the token and causes a BlockDueTimeExpiry event to be generated (step 204 in FIG. 15). Since it is too late to send the block to the network, the block is returned to the source and the TGT is set to wait for the arrival of a token. The scheduled state machine 82 transitions to the BlockDiscardedWaitingForToken state (step 206 in FIG. 15). If the TGT expires (see step 208 in FIG. 15), a TokenGenerationTimerExpiry event is generated and a token is generated because a token has not been received in a timely fashion (step 210 in FIG. 15). The generated token is then forwarded to the next cub and the scheduled state machine 82 transitions back to the WaitingForBlockAndToken state (step 212 in FIG. 15). If, on the other hand, the TGT does not expire (see step 208 in FIG. 15), because the token arrives, the token is forwarded to the next cub that holds the next block of data to be output (step 214 in FIG. 15). The scheduled state machine 82 then transitions to the WaitingForBlockAndToken state (step 216 in FIG. 15). If the old token arrives later, the filter 76 will discard it because the old token has been made obsolete by the new token generated time recorded at the filter. The token generated timer (TGT) is usually set to a small value such as a hundred milliseconds.

It should be appreciated that the above-described approach permits the possibility of two cubs simultaneously sending to the same funnel because a new token is created when a valid token already exists. The protocol, however, minimizes this risk and the likelihood of such multiple sending is slim. An entire block play time must pass before a block may be sent using the new token. As such, it is unlikely that the previous send will have not completed before the new send begins. Moreover, it is unlikely that the stale token will be used to send another block because it will likely be discarded by the filter.

Another rare situation arises when a block is submitted and then no token arrives so that the block is discarded. Subsequently, another block is submitted before the TGT expires. In such a case, the steps shown in FIG. 16 are performed. The scheduled state machine 82 is in the BlockDiscardedWaitingForToken state (step 218 in FIG. 16). The new block is submitted, and depending upon whether it is the first block of a play sequence or not, a ScheduledBlockSubmit or 1stScheduledBlockSubmit event is generated (step 220 in FIG. 16). In response, the TGT is canceled because the block has arrived and the BDT is set to await a token. In addition, the scheduled state machine 82 transitions to the WaitingForToken state (step 222 in FIG. 16). There may be instances in which the scheduled token arrives while the state machine is in the WaitingForSendComplete state. The arrival of the token implies that the send was initiated using a stale token. Unfortunately, it is not possible to stop the send in progress. The scheduled state machine 82 updates the token it holds so that it holds the newly arrived token and completes the existing or the outstanding send.

The UpdatePST event and the UpdateTGT event were mentioned above. The effect of these events depends upon the state of the scheduled state machine 82. FIG. 17A is a flowchart that shows the steps that are performed when the state machine

is in the WaitingForBlockAndToken state. The scheduled state machine begins in the WaitingForBlockAndToken state (see step 224 in FIG. 17A) and an UpdatePST or UpdateTGT state event generated (step 226 in FIG. 17A). This causes an UpdatePST event to be generated. No affirmative action is taken other than to update the respective values held.

FIG. 17B illustrates the steps that are performed when one of these events is received and the scheduled state machine is in the WaitingForBlock state (see step 228 in FIG. 17B). An UpdatePST or UpdateTGT event is generated (step 230 in FIG. 17B) and in response, the TGT is canceled because the held token is stale and should be discarded. In addition, the scheduled state machine 82 transitions back to the WaitingForBlockAndToken state (step 232 in FIG. 17B). FIG. 17C is a flowchart that illustrates the steps that are performed when the scheduled state machine 82 is in the WaitingForToken state (step 234 in FIG. 17C) and an UpdatePST even is generated (step 236 in FIG. 17C). In response, the BDT is canceled, the buffer is returned, and the scheduled state machine 82 transitions to the WaitingForBlockAndToken state (step 238 in FIG. 17C).

In any of the other states the UpdateTGT event invalidates any tokens that are held. The UpdatePST event, however, may cause different actions to occur. If the scheduled state machine 82 is in the BlockDiscardedWaitingForToken state (see step 240 in FIG. 17D) and an UpdatePST event is generated (step 242 in FIG. 17D), the TGT is canceled because a token that was awaited will never arrive and the state machine transitions to the WaitingForBlockAndToken state (step 244 in FIG. 17D).

The system 10 is capable of operating in a failed mode. The failed mode is implemented through a technique known as declustered mirroring that has a second copy of each data block stored on different cubs. The block is split up into a number of sub-blocks which are each stored on a different cub from where the block is stored. When a failed cub is scheduled to send data in scheduled mode, each of the mirroring cubs sends its pieces of the data in sequence to cause the block to be sent. Operation in failed mode is different in that the cub sequence changes and the block sizes becomes equal to the sub-blocks when the mirrored blocks are being transmitted. The block due time is set to a smaller value because of the smaller size of the sub-blocks. An example is helpful to illustrate operation in failed mode. Suppose that the system 10 includes six cubs and there are two sub-blocks per block. Further suppose that cub number 2 has failed. In the sequence cub 1 sends a normal full size block and specifies that the next cub is cub number 3. Cub number 3 holds the first half of the block that was to be transmitted by cub number 2. Hence, cub number 3 submits that the first half of the block (i.e., a sub-block) and specifies cub number 4 as the next cub. Cub number 4 holds the second sub-block and transmits a second half of the block to the next sender. Cub number 4 then specifies cub number 3 as the next sender. Cub number 3 sends its normal full size block or schedule.

#### Nonscheduled Mode

The system 10 may also pass data over data funnels in a nonscheduled mode. In the nonscheduled mode, the operations are not predictable, and as such, the token engine cannot rely on the token being delivered automatically at or near the time that a block arrives. Accordingly, in nonscheduled mode, a home node protocol is followed. In particular, for each data funnel, a specified cub is determined to be the home node for that data funnel. Different nodes are used for different funnels so that the work associated with being a home node is being distributed among the cubs. In general, if a cub wishes to send a nonscheduled block of data, the cub requests that the token from the home node, waits until the token arrives and then sends the corresponding data block. FIG. 18 is a block diagram that helps to illustrate the basic operation of the home node protocol. FIG. 18 shows cubs 248, 250 and 252 that wish to send blocks of data in a nonscheduled mode. Each of the cubs request a token from the home

node 246 and upon receiving the token send the data blocks over the network 254. The cubs 248, 250 and 252 then return the token to the home node 246 so that the home node may pass the token on to the next requesting cub. The home node protocol is executed largely by the home state machine 80 as is shown in FIG. 5, the home state machine 80 includes a queue 256 (FIG. 5) for enqueueing requests for tokens and the home state machine accepts and transmits tokens 258. The home node state machine 80 is running on a cub. The home node state machine 80 for different data funnels may run on a different cub.

#### 1. Nonscheduled State Machine

The nonscheduled state machine 84 of the standard state machine 78 includes a number of states, events and actions, like the scheduled state machine 82. The permissible states for this component 84 of the standard state machine 78 are as follows:

##### States

WaitingForBlockAndToken;  
WaitingForTokenFromHome;  
WaitingForNonschedSendComplete; and  
WaitingForNSSendCompleteHoldingToken.

The WaitingForBlockAndToken state is shared with the scheduled state machine 82. The WaitingForTokenFromHome state is entered when a cub has requested a token from the home node and has not yet received the token. The WaitingForNonSchedSendComplete state is entered when a nonscheduled send has been initiated but not yet completed. Lastly, the WaitingForNSSendCompleteHoldingToken state is entered when a nonscheduled send is pending and the cub receives a scheduled token.

The nonscheduled component of the standard state machine 78 has the following events:

##### Events

BlockDueTimerExpiry; NonScheduledBlockSubmit;  
SendComplete; ReceivedNonSchedToken; and  
UpdatePST; HomeChange.  
UpdateTGT;

The BlockDueTimerExpiry, SendComplete, UpdatePST and UpdateTGT events are all like those generated in scheduled mode. The NonScheduledBlockSubmit event is generated when a nonscheduled data block is received by the standard state machine 78. The ReceivedNonSchedToken event indicates that a nonscheduled token has arrived. The HomeChange event is generated when the home node for the data funnel changes due to a cub failure or reattachment of a previously failed home node.

The actions that are taken are similar to the actions taken in scheduled mode except that tokens must be explicitly requested from the home node. The actions will be described in more detail below.

FIG. 19 is a flowchart illustrating the steps that are performed during typical operation of the standard state machine 78 when the nonscheduled state machine 84 is active. Initially, the state machine is in the WaitingForBlockAndToken state and a block arrives (step 260 in FIG. 19). A request for a token from the home node is then made and the BDT is set to ensure that a token is received in a timely fashion. The state machine then transitions into the WaitingForTokenFromHome state (step 262 in FIG. 19). The home node receives the request and returns a token to the requested cub which results in the generation of a ReceivedNonSchedToken event (step 264 in FIG. 19). The generation of this event causes the cancelation of the BDT because the token has arrived and causes the data block to be passed to the network. The state machine then transitions to the WaitingForNonSchedSendComplete state (step 266 in FIG. 19). The send completes and a SendComplete event is generated (step 268 in FIG. 19). The token is returned to the home node, the buffer is released and

the state machine transitions to the WaitingForBlockAndToken state (step 270 in FIG. 19).

One complication that may arise while operating in nonscheduled mode is that a token may not arrive before the BDT expires. FIG. 20 is a flowchart illustrating the steps that are performed in such an instance. The state machine 78 is in the WaitingForTokenFromHome state (step 272 in FIG. 20). The BDT expires causing generation of a BlockDueTimerExpiry event (step 274 in FIG. 20). In response to this event, the unsent block is returned to its source and the state machine transitions back to the WaitingForBlockAndToken state (step 276 in FIG. 20).

One possibility in the above-described situation is for a token arrive while the state machine 78 is in the WaitingForBlockAndToken state. FIG. 21 is a flowchart illustrating the steps that are performed in such an instance. The state machine is initially in the WaitingForBlockAndToken state (step 278 in FIG. 21) and a TokenArrives (step 280 in FIG. 21). The token is simply returned to the home node immediately (step 282 in FIG. 21).

The home node may change while operating in nonscheduled mode, in many instances because the machine running the home node state machine crashed or rejoined the system after crashing. FIG. 22 illustrates the steps that are performed in such a HomeChange when the state machine is in the WaitingForTokenFromHome state (step 284 in FIG. 22). The state machine receives notification of the HomeChange (step 286 in FIG. 22) and sends the token request that it had submitted to the old home node to the new home node (step 288 in FIG. 22). The resend of the request is necessary because the old home does not inform its backup or replacement of the token requests that are outstanding.

Notification of the HomeChange may also occur while the nonscheduled state machine 84 is in the WaitingForNonSchedSendComplete state. FIG. 23 is a flowchart that illustrates the steps that are performed in such a situation. The nonscheduled state machine 84 is in the WaitingForNonSchedSendComplete state, indicating that a nonscheduled send is ongoing (step 290 in FIG. 23). The nonscheduled state machine 84 then receives notification of the HomeChange which causes the generation of the HomeChange event (step 292 in FIG. 23). The state machine then sends a message to the new home node to advise the new home node that it has the token and when it will return the token (step 294 in FIG. 23).

The UpdatePST and UpdateTGT events cause actions to occur largely in the same way as actions occur in scheduled mode. However, since the scheduled mode does not have the WaitingForTokenFromHome state, the actions differ in nonscheduled mode when the state machine is in this state. FIG. 24A is a flowchart of the steps that are taken in such an instance. The state machine is in the WaitingForTokenFromHome state (step 296 of FIG. 24A) when an UpdatePST event is generated (step 298 in FIG. 24A). The block that is held at the nonscheduled state machine 84 is discarded, and the state machine transitions back to the WaitingForBlockAndToken state (step 300 in FIG. 24A).

FIG. 24B shows the steps that are performed when an UpdatePST or an UpdateTGT event is generated (step 304) when the nonscheduled state machine 84 is in the WaitingForNonSchedSendComplete state (step 302). In particular, the token that the state machine is holding is marked as invalid and discarded after the send completes (step 306 in FIG. 24B).

## 2. Home Node State Machine

The above discussion has focused on the nonscheduled state machine 84 of the standard state machine 78. The home node, however, runs the home node state machine 80. The home node state machine has the following four states:

States

ScheduledMode;

WaitingToGenerate;



HomeWaitingForToken; and  
HomeHoldingToken.

The ScheduledMode state arises when the home node state machine believes that the data funnel is being used for scheduled play. The WaitingToGenerate state is used during the transition from scheduled play to nonscheduled play and after a home change. The HomeWaitingForToken state occurs when the token is leased out to one of the cubs. Lastly, the HomeHoldingToken state occurs when the home node is waiting for a cub to request the token.

The home node state machine 80 supports the following events.

Events

HomeTokenRequest; HomeReceivedToken;

NonSchedTokenHeld; UpdatePST; and

TransitionTimerExpiry; UpdateTGT.

HomeTokenGenerationTimerExpiry;

The HomeTokenRequest event is generated when a cub requests a token. The

NonSchedTokenHeld event is generated when a cub has sent a notification

indicating that it holds the token. The TransitionTimerExpiry and

HomeTokenGenerationTimerExpiry events are generated when the respective timers

expire. The HomeReceivedToken event is generated when the home node receives a

token. The UpdatePST and UpdateTGT events are generated when the play start

time and token generated time need to be updated.

FIG. 25 is a flowchart illustrating normal operation of the home node state

machine 80. Initially, the home node state machine is in the scheduled mode

state (step 308 in FIG. 25). The home node receives a request for a

nonscheduled token and this causes the generation of a HomeTokenRequest event

(step 310 in FIG. 25). In response to this event, the home node state machine

sets the TT, and queues the request in the queue 256 and transitions to the

WaitingToGenerate state (step 312 in FIG. 25). In the normal case, the TT

expires causing a TransitionTimerExpiry event to be generated (step 314 in FIG.

25). The TT provides sufficient time for any cubs to specify that they possess

the token. The request for a token is then dequeued, the token is generated and

the TGT for the home node is set (step 316 in FIG. 25). The token is forwarded

to the cub that requested the token, and the home node state machine 80

transitions to the HomeWaitingForToken state (step 318 in FIG. 25). The home

receives the token back from the cub after the cub has completed its

nonscheduled play send (step 320 in FIG. 25). The home node state machine 80

then checks whether another request has been received and enqueued (step 322 in

FIG. 25). If another request has been received, the token is forwarded to the

requesting cub by dequeuing the request, forwarding the token and setting the

home node TGT as described above (step 324 in FIG. 25). However, if no other

requests are pending in step 322, the home node state machine 80 transitions to

the HomeHoldingToken state (step 326 in FIG. 25).

It is possible that the home node may crash. FIG. 26 illustrates that the steps

that are performed in such an instance. After the home node crashes (step 328

in FIG. 26), the cubs in the associated data funnel are notified that the home

node has crashed (step 330 in FIG. 26). The data funnels for which the home

node was acting in the home node capacity are reassigned (step 332 in FIG. 26).

The reassignment of the home nodes for the data funnels results in home change

events being generated in the nonscheduled state machines 84 on the affected

cubs. FIG. 27 is a flowchart of the steps that are performed by the

nonscheduled state machines 84 in such a situation. First, the home change

event is generated at the nonscheduled state machine 78 (step 334 in FIG. 27).

If the nonscheduled state machine 78 is holding the token (see step 336 in FIG.

27), a NonScheduledTokenHeld message is sent to the new home to generate a

NonScheduledTokenHeld event there (step 338 in FIG. 27). Any outstanding token

request by the cub are resent to the new home node (step 340 in FIG. 27).

FIG. 28A illustrates what happens when the home node crashes and the new home node is advised of the home change. Initially, the new home node is in the scheduled state (step 342 in FIG. 28A). The new home node receives the NonScheduledTokenHeld message from the cub that holds the token (step 344 in FIG. 28A). This causes a generation of a NonScheduledTokenHeld event and the new home node sets its TGT and the home node state machine 80 transitions into the HomeWaitingForToken state (step 346 in FIG. 28A).

In another scenario, the new home node is in the scheduled state (step 348 in FIG. 28B) and receives a HomeTokenRequest that triggers a HomeTokenRequest event before receiving a NonScheduledTokenHeld message (step 350 in FIG. 28B). In such a case, the home node does the normal transition from scheduled mode to nonscheduled mode as set forth in FIG. 25 (step 352 in FIG. 28B).

Another possibility is that the home node state machine is in the WaitingToGenerate state when the switchover to the new home node occurs. FIG. 29 is a flowchart that illustrates the steps that are performed in such an instance. The new home node knows that it is the new home and is in the WaitingToGenerate state (step 354 in FIG. 29). Before the token is generated, a NonTokenScheduledHeld message is received by the new home node (step 356 in FIG. 29). The home node now knows that one of the cubs holds the token and will not generate a new token unless the old token is not forwarded to it in a timely fashion. Thus, the new home node cancels the TT, sets its home node TGT and the home node state machine 80 on the new home node transitions to the HomeWaitingForToken state (step 358 in FIG. 29). In normal instances, the new home node will receive the token (step 360 in FIG. 29). However, if the TGT expires, the new home node will generate a new token as has been described above.

As shown in FIG. 30, the home node state machine 80 for the new home node may be in the scheduled mode state (see step 362 in FIG. 30) when the token is received from the cub that was holding the token when the old home node crashed (step 364 in FIG. 30). The home node state machine 80 then transitions to the HomeHoldingToken state (step 366 in FIG. 30) and awaits requests for the token from the cubs of the data funnel (step 368 in FIG. 30).

FIG. 31 illustrates an instance when the home node state machine for the new home node is in the WaitingToGenerate state (see step 370 in FIG. 31) when the new home node receives a token (step 372 in FIG. 31). In such a situation, the token is used to service the next request that is enqueued. Thus, the TT is canceled, the next request is dequeued, and the home TGT is set (step 374 in FIG. 31). The token is forwarded to the requester and the home node state machine transitions to the HomeWaitingForToken state (step 376 in FIG. 31). Each cub that requests the token is granted the token only for a limited period of time known as the lease period. If the requesting cub does not return the token within the lease period plus an error allowance, the home node TGT will expire. FIG. 32 is a flowchart illustrating the steps that are performed at such an instance. Initially, the home node state machine 80 is in the HomeWaitingForToken state because it is waiting for the requested cub to return the token (step 378 in FIG. 32). Since the requested cub does not return the token, the TGT for the home node expires causing the HomeTokenGenTimerExpiry event to be generated (step 380 in FIG. 32). The home node then generates a new token (step 382 in FIG. 32).

UpdatePST and UpdateTGT events may be generated at the home node state machine 80. A change in the PST likely indicates that data funnel has shifted into scheduled mode. FIG. 3 shows the steps that are performed in such an instance. Initially, the UpdatePST event is generated (step 384 in FIG. 33). Since it is likely that the data funnel has shifted into schedule mode, the state machine transitions into the ScheduledMode state (step 386 in FIG. 33). If new requests subsequently arrive with a matching PST, the state machine will follow the normal course for transitioning from scheduled mode to nonscheduled mode.

The UpdateTGT event usually is generated when a requesting cub times out on returning the token and thus no action results. There may be instances, however, where action is needed. FIG. 34 is a flowchart that illustrates the steps performed to one such instance. If the home node state machine is in the HomeHoldingToken state and an UpdateTGT event is generated (step 388 in FIG. 34), it is clear that some other node has become the home node and the state machine transitions into the scheduled mode state (step 390 in FIG. 34).

#### Other States and Transitions

There are a number of transitions and one state for the standard state machine 78 that have not been discussed above. This state and the transitions occur when switching between scheduled mode and nonscheduled mode. The additional state is the WaitingForNSSendCompleteHoldingToken state. This state indicates that a valid primary token was received while a stale nonscheduled send was in progress. Since the send cannot be immediately stopped, the state machine must wait for the send to complete. Nevertheless, the state machine must remember that a valid scheduled token has arrived and that it is likely to get a scheduled block as soon as the nonscheduled send completes.

FIG. 35 is a flowchart illustrating the steps that are performed when a scheduled token is received while in the WaitingForNonschedSendComplete state. Initially, the standard state machine 78 is in the WaitingForNonschedSendComplete state (step 392 in FIG. 35). The scheduled token is received and causes the generation of a ReceivedScheduledToken event (step 394 in FIG. 35). The standard state machine 78 transitions to the WaitingForNSSendCompleteHoldingToken state and replaces the NonscheduledToken with the ReceivedScheduledToken (step 396 in FIG. 35). The nonscheduled send completes, the buffer is returned, the TST is set and the standard state machine 78 transitions to the WaitingForBlock state (step 398 in FIG. 35).

Another unusual circumstance is illustrated in FIG. 36. In particular, a scheduled token may be received while the standard state machine 78 is in the WaitingForTokenFromHome state (step 400 in FIG. 36). In response, the BDT is canceled, the buffer is returned and the standard state machine 78 transitions to the WaitingForBlock state (step 402 in FIG. 36).

A final scenario is illustrated in the flowchart of FIG. 37. The standard state machine 78 is in the BlockDiscardedWaitingForToken state when a nonscheduled block is submitted (step 404 in FIG. 37). The standard state machine 78 disregards that it was waiting to generate a scheduled token, requests a token from the home node and transitions to the WaitingForTokenFromHome state (step 406 in FIG. 37).

While the present invention has been described with reference to a preferred embodiment thereof, those skilled in the art will appreciate the various changes in form and detail may be made without departing from the intended scope of the invention as defined in the appended claims. For example, the present invention need not be implemented using state machines; rather, other implementations are equally viable. In addition, the present invention need not be practiced on a system architecture like that depicted in FIG. 1. Other system architectures may be used to practice the present invention.

Furthermore, the token need not have the data structure format shown in FIG. 2. Instead, the token may take the form of a message or may take the form of alternative data structures with different formats.

TABLE 1

#### APPENDIX

State Table for ScheduledBlockSubmit, 1stScheduledBlockSubmit and NonscheduledBlockSubmit

State/Event	ScheduledBlockSubmit	
	1st ScheduledBlockSubmit	NonscheduledBlockSubmit

WaitingForBlockAndToken	Set BDT,	Generate Token, Send Block,	Set BDT, Request Token
WaitingForToken		Send Update PST to Home	From Home,
		WaitingForSendComplete	WaitingForTokenFromHome
WaitingForToken	Illegal	Illegal	Illegal
WaitingForBlock	Cancel TST, Send Block,	Illegal	Illegal
	WaitingForSendComplete		
WaitingForSendComplete	Illegal	Illegal	Illegal
BlockDiscardedWaitingForToken	Cancel TGT, Set BDT,	Cancel TGT, Send Block,	Cancel TGT, Request Token
	WaitingForToken	Send Update PST to Home	From Home,
		WaitingForSendComplete	WaitingForTokenFromHome
WaitingForTokenFromHome	Illegal	Illegal	Illegal
WaitingForNonschedSendComplete	Illegal	Illegal	Illegal
WaitingForNSSendCompleteHoldingToken	Illegal	Illegal	Illegal

TABLE 2

State Table for BlockDueTimerExpiry, ReceivedScheduledToken		
State/Event	BlockDueTimerExpiry	ReceivedScheduledToken
WaitingForBlockAndToken	Illegal	Set TST, WaitingForBlock
WaitingForToken	Return Buffer, Set TGT,	Cancel BDT, Send Block,
	BlockDiscardedWaitingForToken	WaitingForSendComplete
WaitingForBlock	Illegal	Illegal
WaitingForSendComplete	Illegal	Update Held Token
BlockDiscardedWaitingForToken	Illegal	Forward Token, WaitingForBlockAndToken
WaitingForTokenFromHome	Return Buffer, Cancel BDT, Abort Block,	WaitingForBlockAndToken
	WaitingForBlockAndToken	WaitingForBlock
WaitingForNonschedSendComplete	Illegal	Update Token, WaitingForNSSendCompleteHoldingToken

WaitingForNSSendCompleteHoldingToken  
 Illegal                      Update Held Token

TABLE 3

State Table for ReceivedNonschedToken, TokenGenerateTimerExpiry,  
 SendComplete

State/Event	ReceivedNonschedToken	TokenGenerateTimerExpiry	SendComplete
WaitingForBlockAndToken	Send Token Home	Illegal	Illegal
WaitingForToken	Illegal	Illegal	Illegal
WaitingForBlock	Illegal	Illegal	Illegal
WaitingForSendComplete	Illegal	Illegal	Return Buffer, Forward Token To Next Sender, WaitingForBlockAndToken 8
BlockDiscardedWaitingForToken	Illegal	Generate New Token, Illegal Token to Next Sender, WaitingForBlockAndToken	
WaitingForTokenFromHome	Cancel BDT, Send Block, Illegal	Illegal	Illegal
WaitingForNonschedSendComplete	Replace Held Token	Illegal	Return Buffer, Return Token Home, WaitingForBlockAndToken 1
WaitingForNSSendCompleteHoldingToken	Illegal	Illegal	Return Buffer, Set TST, WaitingForBlock

TABLE 4

State Table for TokenStateTimerExpiry and HomeChange

State/Event	TokenStateTimerExpiry	HomeChange
WaitingForBlockAndToken	Illegal	No Action
WaitingForToken	Illegal	No Action
WaitingForBlock	Discard Token, No Action	WaitingForBlockAndToken
WaitingForSendComplete	Illegal	No Action
BlockDiscardedWaitingForToken	Illegal	No Action

WaitingForTokenFromHome	Illegal	Request Token From New Home
WaitingForNonschedSendComplete	Illegal	Notify New Home That Token Is Held
WaitingForNSSendCompleteHoldingToken	Illegal	No Action

TABLE 5

State Table for UpdatePST and UpdateTGT

State/Event	UpdatePST	UpdateTGT
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WaitingForBlockAndToken	No Action	No Action
WaitingForToken	Cancel BDT, Return Buffer,	No Action
WaitingForBlock	WaitingForBlockAndToken	
	Cancel TST, Discard Token,	Cancel TST, Discard Token,
	WaitingForBlockAndToken	WaitingForBlockAndToken
WaitingForSendComplete	Invalidate Token	Invalidate Token
BlockDiscardedWaitingForToken	Cancel TGT, No Action	
	WaitingForBlockAndToken	
WaitingForTokenFromHome	Cancel BDT, Return Buffer,	No Action
	WaitingForBlockAndToken	
WaitingForNonschedSendComplete	Invalidate Token	Invalidate Token
WaitingForNSSendCompleteHoldingToken	Invalidate Token	Invalidate Token

TABLE 6

Home Machine State Table for HomeTokenRequest, NonscheduledTokenHeld, TransitionTimerExpiry and HomeTokenGenTimerExpiry

State/Event	HomeTokenRequest	NonscheduledTokenHeld	TransitionTimerExpiry	HomeTokenGenTimerExpiry
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ScheduledMode	Set TT, Enqueue Request,			
	Set HTGT, Illegal	Illegal		
WaitingToGenerate	HomeWaitingForToken			
WaitingToGenerate	Enqueue Request			
	Cancel TT, Set HTGT,			
	Generate Token, Dequeue			
	Illegal			

		HomeWaitingForToken		
			Request, Set HTGT,	
			Forward Token,	
			HomeWaitingForToken	
HomeWaitingForToken	Enqueue Request			
		Illegal	Illegal	Generate Token, if queue empty
				HomeHoldingToken, else {Dequeue Request, Set HTGT, Forward Token}
HomeHoldingToken	Set HTGT, Send Token,			
		Illegal	Illegal	Illegal
	HomeWaitingForToken			

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TABLE 7

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Home Machine State Table for HomeReceivedToken, UpdatePST and UpdateTGT  
State/Event

	HomeReceivedToken		
		UpdatePST	
		UpdateTGT	
ScheduledMode	HomeHoldingToken		
		No Action	
		No Action	
WaitingToGenerate	Cancel TT, Dequeue Request, Set		
	Cancel TT,	No Action	
	HTGT, Forward Token,		
	ScheduledMode		
	HomeWaitingForToken		
HomeWaitingForToken	Cancel HTGT, if queue empty		
	Cancel HTGT,	No Action	
	HomeHoldingToken, else {Dequeue		
	ScheduledMode		
	Request, Set HTGT, Forward Token}		
HomeHoldingToken	Illegal	ScheduledMode	
		Discard Token,	
		ScheduledMode	

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What is claimed is:

1. In a computer system having data sources for outputting data, a data sink for receiving the output data, a data funnel for connecting the data sources with the data sink and a home node for regulating the outputting of data by the data sources to the data funnel, a method comprising the computer-implemented steps of: requesting a token at a selected one of the data sources from the home node to permit the selected data source to output data to the data sink through the data funnel; forwarding a token from the home node to selected data source; and outputting the data from the selected data source to the data sink

through the data funnel after the token is received at the selected data source.

2. The method of claim 1, further comprising the step of returning the token to the home node after the outputting of the data from the selected data source is complete.

3. The method of claim 2, further comprising the step of forwarding the token to a next of the data sources from the home node so that the next data source may output data over the data funnel.

4. The method of claim 3 wherein the next data source requests the token from the home node before the token is forwarded to the next data source.

5. The method of claim 1 wherein the computer system includes additional data sources, a second data funnel for connecting the additional data sources with a second data sink and a second home node for regulating outputting of data from the data sources to the second funnel and wherein the method further comprises the steps of; requesting a token at a given one of the data sources from the home node to permit the given data source to output data to the second data sink through the second data funnel; forwarding a second token from the second home node to the given data source; and outputting the data from the given data source to the second data sink through the data funnel after the second token is received at the given data source.

6. The method of claim 5 wherein the outputting from the given data source and the outputting from the selected data source are concurrently performed.

7. The method of claim 1 wherein the data funnel is realized through a cell switched network.

8. The method of claim 1 wherein the selected data source holds multimedia data and outputs multimedia data to the data sink.

9. The method of claim 1 wherein the selected data source further comprises a token filter for filtering out stale tokens and wherein the method further comprises the step of passing the token through the filter to ensure that the token is not stale before outputting the data.

10. A computer-readable storage medium for use in a computer system having data sources for outputting data, a data sink for receiving the output data, a data funnel for connecting the data sources with the data sink and a home node for regulating the outputting of data by the data sources to the data funnel, said medium holding instructions for: requesting a token at a selected one of the data sources from the home node to permit the selected data source to output data to the data sink through the data funnel; receiving the token at the selected data source from the home node in response to the request; and outputting data at the selected data source to the data sink through the data funnel after the token is received.

11. A computer system, comprising: data sources for outputting data; a first data sink for receiving data that is output from the data sources; a first data funnel for connecting the data sources with the first data sink; and a home node for receiving a request from a selected one of the data sources for a first token and in response, forwarding the first token to the selected data source so that the selected data source may output a data block that is destined to the data sink to the first data funnel.

12. The computer system of claim 11, further comprising: a second data funnel for connecting selected ones of the data sources with a second data sink; and a second home node for receiving a request from a given one of the data sources for a second token and in response, forwarding the second token to the given data source so that the given data source may output a data block that is destined to the second data funnel.

13. The computer system of claim 11 wherein the computer system is a distributed system.



14. The computer system of claim 13 wherein each of the data sources is a separate data server machine.
15. The computer system of claim 13 wherein the home node is a separate computer.
16. The computer system of claim 11 wherein the home node is a separate computer.
17. The computer system of claim 11 wherein the data sources are multimedia data servers.
18. The computer system of claim 11 wherein the multipoint to point data connection is realized through a virtual circuit switched network.
19. The computer system of claim 11 wherein the network is an asynchronous transfer mode (ATM) network.
20. In a computer system having a plurality of data servers that are connected to a client via a data funnel, a method comprising the computer-implemented steps of: operating in a scheduled mode of operation such that data blocks are output from the data servers to the data funnel in a sequence according to a predetermined schedule; and operating in a nonscheduled mode of operation such that data blocks are output in a nonscheduled fashion where each data server must request and receive permission to output data before the data may be output to the data server.
21. The method of claim 20 wherein the data blocks that are output in scheduled mode are striped across the data servers such that consecutive ones of the data blocks are stored on different ones of the data servers.
22. The method of claim 20 wherein when the system is operating in scheduled mode, a token is passed to each data server when it is scheduled to output one of the data blocks.
23. The method of claim 20 wherein when operating in nonscheduled mode each data server must request and receive a token before the data server can output a data block to the data funnel.
24. A computer system, comprising: data servers; a client of the data servers; a data funnel for passing data from the data servers to the client; a scheduled mode component for scheduling the data servers so that data blocks from the data servers are output in a sequence to the data funnel to be delivered to the client according to a schedule; and a nonscheduled mode component for enabling the data servers to output data blocks that are destined to the client to the data funnel in a nonscheduled fashion wherein each data server must request and receive permission to output a data block before the data block is output by the data server.
25. A computer-readable storage medium for use in a computer system having data servers that are connected to a client via a data funnel, said medium holding instructions for a selected one of the data servers for: operating the selected data server in a scheduled mode such that the selected data server outputs a data block of a data sequence to the data funnel according to a schedule so that the data block is delivered to the client; and operating the selected data server in a nonscheduled mode such that the selected data server outputs at least one nonscheduled data block to the data funnel destined for the client in a nonscheduled fashion where the selected data server had to request and receive permission to output the nonscheduled data block before outputting the nonscheduled data block.
26. A computer-readable storage medium for use in a computer system having data servers connected to a client and a home node for regulating outputting out data from the data servers to the client, said medium holding instructions for the home node for: receiving a request for a token from a selected data server, said token enabling a data server to output data to the client in a nonscheduled fashion; and in response to the request, forwarding the token to the selected data server.

27. The computer-readable storage medium of claim 26 wherein the medium also holds instructions for receiving the token back from the selected data server.

28. The computer-readable storage medium of claim 26 wherein the medium also holds instructions for transitioning into a scheduled mode of operation where the home node acts as a data server.

29. In a computer system having a plurality of data output components for outputting data to a connection mechanism that connects that data output components to a destination, a method comprising the steps of: awaiting arrival of a token at a given one of data output components for a predetermined period of time; where the token fails to arrive within the predetermined period of time, generating a new token at the given data output component; and using the new token, outputting data from the given data output component to the connection mechanism to the destination.

30. A computer-readable storage medium for use in a computer system that has a plurality of data output components for outputting data to a connection mechanism that connects the data output components to a destination, said medium holding instructions for: awaiting arrival of a token at a given one of data output components for a predetermined period of time; where the token fails to arrive within the predetermined period of time, generating a new token at the given data output component; and using the new token, outputting data from the given data output component to the connection mechanism to the destination.

31. In a computer system having a controller and data servers for outputting data to destinations, a method comprising the computer-implemented steps of: providing a control mechanism on a selected one of the data servers for controlling operation of the selected server; maintaining a play start time value at the selected data server, said play start time value indicating a time at which a most recent play request from one of the destinations to receive data output by the data servers was received by the controller; receiving a scheduling token at the selected data server, said token holding scheduling information for outputting data by the selected data server, including a new play start time; and in response to receiving the token, updating the play start time value at the selected server to have the new play start time held in the token.

32. A computer-readable storage medium for use in a computer system having a controller and data servers for outputting data to destinations, said data servers including a selected data server that has a control mechanism for controlling operation of the selected server, said medium holding instructions for performing the steps of: maintaining a play start time value at the selected data server, said play start time value indicating a time at which a most recent play request from one of the destinations to receive data output by the data servers was received by the controller; receiving a scheduling token at the selected data server, said token holding scheduling information for outputting data by the selected data server, including a new play start time; and in response to receiving the token, updating the play start time value at the selected server to have the new play start time held in the token.

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MAIN: 370/394.000  
SECONDARY: 370/397.000; 370/450.000

CURRENT U.S. PATENT CLASSIF.:

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SECONDARY: 370/397.000; 370/450.000

INT. PATENT CLASSIF.:

MAIN: H04L012-56

FIELD OF SEARCH: 370/538; 370/453; 370/450; 370/394; 340/825.51; 348/7  
ART UNIT: 272

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**MAIL WITH OFFICE ACTION**

**ATTACHMENT  
FOR PTO-326**

**U.S. PATENT  
4,635,189**

TITLE: Real-time distributed data-base management system  
 INVENTOR(S): Kendall, Burton, Saratoga, CA, United States  
 PATENT ASSIGNEE(S): Measurex Corporation, Cupertino, CA, United States  
 (U.S. corporation)

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REFERENCED PATENT:	US 4007450	Feb 1977	364/200.000	Haibt et al.
	US 4215407	Jul 1980	364/200.000	Gomola et al.
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#### ABSTRACT:

A real-time distributed data-base system that stores in the local memory of each processor copies of only those variables necessary for execution of the programs in that processor. Performance is enhanced by using the absolute address of variables in each program reference, with automatic updating of all program references when the location of variables are changed. Flexibility in user application programming is enhanced by permitting combination and conditional updates of variables through the interaction of multiple processors.

#### BACKGROUND OF THE INVENTION

##### 1. Field of the Invention

This invention relates to a data-base management software system, and in particular to an improved real-time distributed data-base management system configured for high-speed operation.

##### 2. Prior Art

A data-base management system (DBMS) is a generalized software system designed to provide facilities for data base organization, access, and control. A data base is a collection of logically organized information intended for access by multiple users. The data base constitutes the collective requirements of all users. However, any individual user would typically require access to only a portion of the entire data base.

In a distributed data processing system having multiple computer processors, a general problem arises as to the most efficient organization of the data base and optimum procedures for accessing that data base while minimizing required system resources. The problem becomes particularly acute in real-time computer operating environments, such as are used to control manufacturing processes. In such an environment, various of the processors require access to data that is constantly changing, such as temperature, pressure, flow rate, and other manufacturing process parameters that are input into the computer processing system for evaluation and control of the manufacturing process.

FIG. 1 shows a block diagram of a typical multiple processor computer architecture used for real-time manufacturing process control. Each processor is coupled to a communications bus, which may be one of several communications

bus types. Typically, in a real-time operating system, each local processor 2a . . . 2n receives data from or sends data to a number of subprocessors 3. The subprocessors 3 are coupled to sensors and/or actuators 4, for interaction with the manufacturing process. Often one processor will need data collected by another processor to update calculations used in the manufacturing process control. For example, one local processor may obtain temperature data on a portion of a manufacturing process. This temperature data must be used by a second processor in order to limit the rate of flow of material in another portion of the manufacturing process. However, it is rare that any one processor needs access to all of the data being collected by all of the processors in the system. Therefore, it would be wasteful of processing system resources to store duplicate copies of the complete data base in each local processor. Further, it would be wasteful of system communication resources to update each such data base in each processor whenever the data changes. Thus, it is advantageous to devise a data base architecture that provides access by one processing unit to data in another processing unit upon demand.

Some prior art systems have utilized a centralized data base in a main processor, which each local processor accesses as necessary to ascertain the current value of particular variables. However, this architecture is not very reliable, since a failure in the main processor may completely disrupt operation of the processing system as a whole. Examples of such prior art systems may be found in "Distributed Micro/Minicomputer Systems" by Cay Weitzman (Prentice-Hall, Inc. copyright 1980).

It is advantageous in a real-time computer processing system to permit each local processor to locally store and directly address data that is necessary in the operation of that processor. Such direct addressing permits improved performance by permitting the processor to fetch data from its local memory without having to calculate or otherwise locate the address of that information. Such local storage of necessary information is also advantageous in providing higher reliability for the system as a whole, since, by locally storing data acquired from another processor, no one processor is dependent upon the accessibility and reliability of a centralized data base. It is also advantageous to provide a real-time data base management system that permits automatic update of data used in a local processor but originally obtained from another processor.

Therefore, it is an object of the present invention to provide an improved real-time data base management system which minimizes the memory requirements of each local processor, provides high reliability by locally storing data used in each processor, and minimizes the amount of processing time incurred in transferring data from one processor to another processor.

#### SUMMARY OF THE INVENTION

The present invention provides a Block Builder procedure and a Real-Time Data Management procedure for locally storing in each processor a copy of each variable needed by the programs executed by that processor. Further, each reference by a program in a local processor to a variable is to a locally stored variable. Nonetheless, such reference is to the absolute address of the original of that variable, no matter where in the processing system the original of the variable is initially determined. The Real-Time Data Management procedure permits each copy of a variable to be updated by the current value of the original variable on a periodic basis or upon the occurrence of a defined condition. Further still, the Real-Time Data Management procedure permits great flexibility in data manipulation, including the ability to have a program in a first processor address an original variable in a second processor, and direct that the most current value for that variable then be stored in a third processor upon the occurrence of a designated condition in a fourth processor, with an acknowledgment signal sent to a fifth processor.

#### DESCRIPTION OF THE DRAWINGS

FIG. 1 is a block diagram of a real-time distributed processing computer system of the type that might be used to control a manufacturing process.

FIG. 2 is a flow-chart of the Block Builder procedure of the present invention.

FIG. 3 is a flow-chart of a first part of the Real-Time Data Management procedure of the present invention.

FIG. 4 is a flow-chart of a second part of the Real-Time Data Management procedure of the present invention.

#### DETAILED DESCRIPTION OF THE INVENTION

The present invention, in its preferred embodiment, is composed of two parts: a Block Builder procedure, and a Real-Time Data Management (RTDM) procedure. The Block Builder procedure is performed in a main computer processor, such as the main processor 1 shown in FIG. 1. As a prerequisite for operation of the invention, all programs designed to control procedures in the local processors (2a . . . 2n, in FIG. 1) must be prepared through interaction with the Block Builder. However, the preparation of such user application programs may be done from any of the local processors by means of well-known remote communication procedures between a local processor and the main processor 1.

FIG. 2 shows a block diagram of the procedure performed by the Block Builder. Sequence A constitutes the main procedure. As a user prepares an application program, the Block Builder monitors the program text for the occurrence of variable names (step 20). As each variable name is input by the user, it is tested against a master symbol table or list of variable names to see whether this occurrence is the very first occurrence of the variable (step 22). If so, the Block Builder procedure asks the user to define the attributes of the variable, such as its length, type, and default value (step 24). The Block Builder then queries the user as to what processor will supply the VALUE for the variable (step 26). This processor (denoted "X") is then accessed by the Block Builder and storage for the variable is allocated in that processor (step 28). Also, if a default value is known for the variable at that time, that VALUE is stored in Processor X.

Thereafter, the Block Builder enters the name of the new variable into a symbol table in the main processor, which is a master list of all variables used throughout the entire computer system (step 30). The symbol table entry for the new variable essentially consists of the name of the variable, the attributes of the variable, the absolute address of the variable in the processor where the VALUE of the variable will be determined, and a list of all the references in all programs throughout the system that refer to that variable.

The "absolute address" of a variable refers to the processor, and the memory location within that processor, in which the VALUE for that variable is initially stored upon determination by that processor. In actual practice, a relocatable "absolute" address is used, determined in any of several ways known in the prior art, in order to increase system flexibility and ease of operating system programming. By storing this absolute address for each variable, the Block Builder procedure and the RTDM procedure can directly access the current VALUE of the variable, rather than indirectly referencing that VALUE such as may be the case in prior art "look-up tables".

If the user has designated a processor other than the local processor upon which the program is being implemented as the source for the VALUE to be assigned to that variable, then Sequence B is performed (step 32). Further, if the outcome of the test in step 22 is that a variable designated by a user is not the very first occurrence of the variable, then the Block Builder tests to determine if the present occurrence is the first occurrence of the variable in the current processor (Step 33). If so, then Sequence B is also performed.

In Sequence B, shown in FIG. 2, the Block Builder allocates storage for a duplicate copy of the variable in the processor that will reference the original variable (step 34). The referring processor is distinguished from the processor in which the VALUE for the variable is determined in that any

processor within the system may refer to a particular variable (and thus have space allocated in its local memory for a copy of that variable), but only one processor in the system actually determines the VALUE for that variable. Two important aspects of the present invention are that storage space is allocated for a variable only in referring processors (rather than in all processors), and each program step in a program executed by a referring processor that references that variable is assigned the absolute address of the local duplicate copy of the variable. Thus, during the execution of any program that makes reference to a variable, the program may directly address the local copy of that variable, and through the RTDM procedure obtain a copy of the most current VALUE for that variable and store it in that address in the processor's local memory. In essence, each local processor stores in its local memory a copy of every variable used by the programs executed by that local processor. The values of these variables are automatically updated through the RTDM procedure at a rate specified by the user in each application program. Each program in each local processor references each variable by the absolute address of that variable in the processor's local memory. Further, the RTDM procedure references the absolute address of the original variable in the processor where the VALUE of the variable is determined. This speeds up execution of the program by eliminating the program steps that a look-up table or other indirect access method requires in determining the address or location of the current value for each desired variable.

As the next step in Sequence B, a reference is added into the master symbol table entry for the variable, indicating the absolute address of any command or other program line that refers to the particular variable (step 36). This is useful in system maintenance where, for example, the location of a variable in the processor that determines the VALUE of the variable is changed. If such a change occurs, the Block Builder may refer to the master symbol table and determine the absolute address of each and every reference to that variable in every program throughout the processing system. The Block Builder then automatically accesses each of those programs using such references and changes the address of the variable for each such reference.

In the next step in Sequence B, the Block Builder causes each processor in which a program makes reference to a variable to send a "Requesting Task Request" message to the Processor X which determines the VALUE for the variable (step 38). The user must specify the RATE at the which the VALUE for the variable is to be ascertained or whether the VALUE is to be ascertained only upon the occurrence of a condition, the destination that the variable is to be sent to, and whether any processor is to be notified upon the completion of the access to the VALUE for the variable.

Lastly, if the outcome of the test in step 33 is that a variable name is not the first occurrence in the current processor, a step identical to step 36 is performed, adding a reference to the occurrence into the symbol table (step 40).

After the Block Builder procedure sets up the basic structure of storage allocation in each local processor for a copy of each variable to be accessed by that processor, together with absolute address references to the processor in which the VALUE of each variable is determined, control over the real-time data base management system is governed by the RTDM procedure, outlined in FIGS. 3 and 4. Each local processor has resident a copy of the RTDM procedure. Basically, up to five different types of nodes may exist under the RTDM procedure. A Requesting Processor is a local processor that initiates a request for the current VALUE of a variable. A Source Processor is the local processor in which the VALUE for the variable is actually determined (for example, the value of a variable named TEMP may be determined in a local processor that records the output of a thermal sensor).



A third type of node is a Conditional Processor, in which a flag or status bit may be set upon the occurrence of a particular condition (for example, when the temperature or pressure of a particular point in the manufacturing process exceeds a defined value).

A fourth type of node is a Destination Processor, where the value from the Source Processor is to be sent. The fifth type of node is a Notification Processor, where an acknowledgment signal may be sent by the Destination Processor to indicate receipt of the current VALUE for the variable.

Virtually any combination of the above node types may be combined under the RTDM procedure to effectuate maximum flexibility in user application programming. For example, a Requesting Processor can seek the VALUE of a variable from a second (or Source) processor upon the occurrence of a user-defined condition in a third (or Conditional) processor. The value of the variable may then be sent to a fourth (or Destination) processor, with an acknowledgment of receipt signal being sent to a fifth (or Notification) processor. However, one or more local processors may serve in multiple roles. For example, the Requesting Processor will often also be the Destination Processor.

In operation, a user program, or Requesting Task ("RT"), will request the VALUE for a variable from the RTDM procedure in the processor in which the user program resides (step 300). The RT Request will specify the absolute address (or SOURCE) of the memory location containing the VALUE of the variable in the Source Processor which determines that VALUE. The RT Request optionally may designate the RATE at which the VALUE of the variable is to be fetched, or a Conditional Processor (by means of a CONDITION indicator) in which the occurrence of a defined condition will cause the Source Processor to fetch the VALUE of the variable.

The RT Request will also designate (by means of a DESTINATION indicator) the Destination Processor to which the fetched VALUE is to be sent. The Destination Processor may be identical to the Requesting Processor. As a further option, the RT Request may indicate (by means of the TS/NOTIFICATION indicator) that the Destination Processor is to "time stamp" the received VALUE to indicate when the VALUE was received as a check on the requesting process, or the RT Request may indicate a Notification Processor to which the Destination Processor must send an acknowledgment message upon the receipt of the fetched VALUE.

The RT Request is stored in the Requesting Processor (step 302) for purposes of system reliability in the event that all or part of the RT Requests throughout the system must be reissued (for example, when a portion of the system has failed).

The Requesting Processor examines the RT Request to determine if the SOURCE of the VALUE for the variable is in the Requesting Processor (step 304). If so, the RT Request is tested to determine if the DESTINATION of the VALUE is in the Requesting Processor (step 306). If so, a third test determines whether a condition must be met and whether the Requesting Processor is also the Conditional Processor (step 308). If no condition must be met, or if the Requesting Processor is also the Conditional Processor, then the Requesting Processor fetches the current VALUE from its local memory at the RATE specified in the RT Request, or upon the local occurrence of the user-defined condition (step 310). The Requesting Processor then stores the VALUE in the local memory location specified in the DESTINATION indicator of the RT Request (step 312). If the TS/NOTIFICATION indicator has been set in the RT Request, then at the user's selection either the time at which the VALUE was stored is saved along with the VALUE, or an acknowledgment message is sent to the Notification Processor whose address is specified in the TS/NOTIFICATION indicator (step 314).

If the source of the VALUE is determined to be in a different processor as a result of the test in step 304, then an RP Request is sent to the Source Processor indicated by the SOURCE address (step 316). The RP Request contains the absolute system address of the variable desired, the information specified in the original RT Request, and a Request ID that uniquely identifies the particular RP Request and the Requesting Processor, for reference purposes. The Source Processor receives and then stores the RP Request for purposes of system reliability (step 318, FIG. 4).

The RP Request is then tested to determine whether a condition must be met, and if so whether the Source Processor is the Conditional Processor. (Step 320). If no condition must be met, or if the Source Processor is also the Conditional Processor, then the Source Processor builds a "send list" (step 322). The "send list" contains the address of the desired variable and the Request ID.

**The Source Processor then fetches the current VALUE of the variable from its local memory at the RATE specified in the RP Request, or upon the local occurrence of the user-defined condition (step 324). The VALUE, along with the RP Request, is then sent to the Destination Processor specified in the RP Request (step 326).**

If the outcome of the test in step 320 is that a condition is specified, and the Source Processor is not the Conditional Processor, then the Source Processor builds a special "Send List" (step 328). The special Send List includes the information in the regular Send List plus the absolute address of the condition in the Condition Processor, and a RATE at which the condition status is to be checked.

After the special Send List is built, the Source Processor sends a Conditional Request to the Conditional Processor (step 330). The Conditional Processor stores the Conditional Request (step 332), and then tests for the occurrence of the condition (step 334) at the RATE specified by the user (step 336). When the condition occurs, the Conditional Processor sends a STATUS flag or message to the Source Processor (step 338). The Source Processor then fetches the current VALUE of the variable from its local memory (step 340), and sends the VALUE and the RP Request on to the Destination Processor (step 342).

Upon receipt of a VALUE of a variable and an RP. Request, the Destination Processor stores the VALUE in the location specified by the DESTINATION indicator in the RP Request (step 344). If the TS/NOTIFICATION indicator has been set in the RP Request, then at the user's selection either the time at which the VALUE was stored is saved along with the VALUE, or an acknowledgment message is sent to the Notification Processor whose address is specified in the TS/NOTIFICATION indicator (step 346).

If, as a result of the test in step 306, the Requesting Processor determines that the VALUE is locally stored, but its DESTINATION is in another processor, then the RT Request is further tested to determine whether a condition must be met, and if so whether the Requesting Processor is the Conditional Processor (step 348). If so, then the Requesting Processor causes a "send list" to be built (step 350), the VALUE fetched from local memory at the RATE specified or upon the local occurrence of the condition (step 352), and the VALUE and RP Request to be sent to the Destination Processor (step 354).

If, as a result of the test in step 348, the Requesting Processor determines that it is not the Conditional Processor, then the equivalent of steps 328 through 338 are performed by the Requesting Processor and the Conditional Processor (step 356). This causes the VALUE locally stored in the Requesting Processor to be fetched upon the occurrence of a condition in the Conditional Processor, and then sent to the Destination Processor.

Lastly, if, as a result of the test in step 308, the Requesting Processor determines that the VALUE is locally stored and has a local destination, but that the Requesting Processor is not the Conditional Processor, then the equivalent of steps 328 through 338 are performed by the Requesting Processor

and the Conditional Processor (step 358). This causes the Conditional Processor to send a STATUS flag or message to the Requesting Processor. Upon receipt of the STATUS flag, the Requesting Processor fetches the current VALUE of the variable from its local memory (step 360). The Requesting Processor will then perform a procedure equivalent to steps 312 and 314 as previously described. **The RTDM procedure thus permits an extremely flexible data base management system that uniquely provides for improved performance in a real-time distributed processing system. Processing speed is enhanced by the fact that each application program uses absolute addresses for all references to memory in its processor, and the RTDM procedure similarly uses absolute addresses into the Source Processor for a variable when obtaining a current copy of the variable's VALUE. Maintainability of the system is enhanced by the use of a master symbol table containing references to the absolute address of each program statement referring to each variable. Reliability is enhanced by completely specifying a request for the current VALUE of a variable and storing that request in each pertinent processor. Moreover, system communications overhead and local memory requirements are reduced by only storing in each processor copies of those variables actually used in the processor.**

While a wide variety of other procedures and additional procedures can be used in the invention, it should be understood that changes can be made without departing from the spirit or scope of the invention. Thus, this invention is not to be limited to the specific embodiment discussed and illustrated herein, but rather by the following claims.

What is claimed is:

1. In a system having local processors each connected to a located memory, a communications channel between the local processors, and a data base of a multiplicity of data variables distributed over the local memories, an improvement to a method of operating a Distributed Data Base Management System (DDBMS) by executing at the local processors local programs, which are referencing the data variables within the data base, and originating each data variable at an originating one of the local processors, the improvement to the method of operating a DDBMS comprising: first locally storing in each local memory an original of each data variable which is originated by the associated local processor; second locally storing in each local memory a copy, obtained across the communication channel, of each data variable not originated by the associated local processor which each data variable is at any time referenced by the associated local processor; WHEREIN both the first and second locally storing of each data variable is at an absolute address, meaning an address designation of the local processor which does originate the data variable in addition to an address in local memory at which the data variable is first locally stored; referenceing, responsive to a local program executing on each local processor which local program makes references to data variables, each data variable only at the absolute address of said data variable supplying, responsive to said referenceing, each data variable from the associated memory of the local processor making reference, regardless that the data variable should be the original or the copy; executing a procedure within each local processor, called by the local program executing therein, for updating the copy of a data variable stored within the associated local memory by obtaining the original of the data variable across the communication channel.
2. The improvement to the method of operating a DDBMS of claim 1 wherein said executing a procedure further comprises: selectively executing said procedure conditionally upon the occurrence of a designated condition at a designated one of said local processors, including at the local processor executing the procedure or at the local processor which does uniquely originate the data variable.

3. The improvement to the method of operating a DDBMS of claim 1 wherein said executing a procedure further comprises: executing a procedure at said local program specifiable rate.
4. The improvement to the method of operating a DDBMS of claim 1 which is further comprising, as a last step following said executing a procedure: time stamping the updated local copy of the data variable obtained by the execution of said procedure.
5. The improvement to the method of operating a DDBMS to claim 1 which is further comprising, as a last step following said executing a procedure: acknowledging to a designated one local processor, which designated one local processor may be any of the local processors, that updating of the local copy of the data variable has occurred.
6. The improvement to the method of operating a DDBMS of claim 1 wherein said executing a procedure further comprises: executing said procedure within each local processor, called by the local program executed therein, for updating the local copy of a specified data variable at a designated one of said local processors, which designated one of said local processors may be any local processor including the local processor executing the procedure or the local processor which does originate the data variable.
7. In a system having a main processor local processing nodes each having a local processor and a local memory connected to said local processor, a communications channel interconnecting the main processor and all local processing nodes, and a data base of variables distributed over the local memories of all the local processing nodes; a method of operating the system as a Distributed Data Base Management System (DDBMS) for the purposes of minimizing the size of each memory by having as variables stored therein only those originated and/or referenced by the associated local processor, and minimizing communications on the communications channel of variables referenced for use by local processors through satisfying processor references from local memory, otherwise updating locally stored variable values across the communications bus responsive to local processor execution of an update procedure; the method of operating a DDBMS having prerequisite steps performed at compile time before run time, and steps performed at run time; the method of operating a DDBMS comprising: executing, by said main processor communicating with the local processing nodes across the communications channel, at compile time before run time a Block Builder procedure comprising generating a variable symbol table in the main processor which lists for all variables used throughout the DDBMS (1) a variable name, and (2) an absolute address of the variable which absolute address contains both the identity of the single local processing node which does originate the variable value plus the location within the local memory of said single local processing node wherein said variable value will be stored, further allocating storage for each variable in the local memory of (1) the local processing node which does originate the value of each variable, and (2) all other local processing nodes which reference each variable, for all variables used throughout the DDBMS, further causing each said other local processing nodes which reference each variable to made a Requesting Task Request to the local processing node which does originate the value of the variable in order that the variable value becomes stored in the local memory of each other local processing node which will reference the variable; and executing, by the local processor of each local processing node communicating with other local processing nodes across the communications channel, at run time a Real Time Data Management (RTDM) procedure for the updating of data variable values, said RTDM procedure comprising requesting, responsive to a user program executed by a local processor making reference to a variable, the value of the variable at its absolute address, WHEREIN IF the local processor making reference is within that single local processing node which originates the variable value THEN

supplying the value of the variable to the local processor making reference from the associated local memory ELSE IF the local processor making reference is not within that local processing node which originates the variable value THEN supplying the value of the variable to the local processor making reference from the memory of the local processing node which does originate the value of the variable by communicating the value across the communications channel.

8. The method of operating a DDBMS of claim 7 wherein within said RTDM procedure said requesting is further comprising: requesting conditionally upon an occurrence of a designated condition at a designated one of the processing nodes, occurrence of the designated condition being determined IF the local processor making reference is within the designated one of the processing nodes THEN determining the designated condition internally within the local processor making reference ELSE IF the local processor making reference is not within the designated one of the processing nodes THEN determining the designated condition by communication between said local processor making reference and the designated one of the processing nodes across said communications channel.

9. The method of operating a DDBMS of claim 7 wherein said requesting is further comprising: requesting the value of the variable to be supplied at a designated rate; and wherein said supplying is: done at said designated rate.

10. The method of operating a DDBMS of claim 7 wherein said RTDM procedure is further comprising as a last step following said supplying: time stamping at the local processor making reference the value of the variable received, whereby a check on the process of the value of variables supplying is made.

11. The method of operating a DDBMS of claim 7 wherein said RTDM procedure is further comprising as a last step following said supplying: acknowledging to a designated notification processor, which may be the local processor, the receipt of said variable value in response to said supplying.

12. In a system having a main processor local processing nodes each having a local processor and a local memory connected to said local processor, a communications channel interconnecting the main processor and all local processing nodes, and a data base of variables distributed over the local memories of all the local processing nodes; a method of operating the system as a Distributed Data Base Management System (DDBMS) for the purposes of minimizing the size of each memory by having as variables stored therein only those originated and/or referenced by the associated local processor, and minimizing communications on the communications channel of variables referenced for use by local processors through satisfying processor references from local memory, otherwise updating locally stored variable values across the communications bus responsive to local processor execution of an update procedure; the method of operating a DDBMS having prerequisite steps performed at compile time before run time, and steps performed at run time; the method of operating a DDBMS comprising: executing, by said main processor communicating with the local processing nodes across the communications channel, at compile time before run time a Block Builder procedure comprising generating a variable symbol table in the main processor which lists for all variables used throughout the DDBMS (1) a variable name, and (2) an absolute address of the variable which absolute address contains both the identity of the single local processing node which does originate the variable value plus the location within the local memory of said single local processing node wherein said variable value will be stored, further allocating storage for each variable in the local memory of (1) the local processing node which does originate the value of each variable, and (2) all other local processing nodes which reference each variable, for all variables used throughout the DDBMS, further causing each said other local processing nodes which reference each variable to make a Requesting Task Request to the local processing node which does originate the value of the variable in order that the variable value becomes

stored in the local memory of each other local processing node which will reference the variable; and executing, by the local processor of each local processing node communicating with other local processing nodes across the communications channel, at run time a Real Time Data Management (RTDM) procedure for the updating of data variable values, said RTDM procedure comprising requesting, responsive to a user program executed by a local processor making reference to a variable, the value of the variable at its absolute address be sent to a designated one destination local processor, which destination local processor may be identical to the local processor making reference; IF the local processor making reference is within that local processing node which does originate the variable value THEN supplying the value of the variable to said destination processor, across said communications channel if necessary because the destination processor is not identical to the processor making reference, ELSE IF the local processor making reference is not within that local processing node which does originate the variable value THEN communicating said requesting across the communications channel to the local processing node which does originate the value of the variable AND supplying, from the memory of the single local processing node which does originate the value of the variable, the value of the variable to said destination processor, across said communications channel if the destination processor is not identical to that local processor within the local processing node.

13. The method of operating a DDBMS of claim 12 wherein said RTDM procedure is further comprising as a last step following said supplying: time stamping at the local processor making reference the value of the variable received, whereby a check on the process of the value of variables supplying is made.

14. The method of operating a DDBMS of claim 12 wherein said requesting is further comprising: requesting the value of the variable to be supplied at a designated rate; and wherein said supplying is further comprising: supplying at the designated rate.

15. The method of operating a DDBMS of claim 12 wherein said RTDM procedure said requesting is further comprising: requesting conditionally upon the occurrence of a designated condition at a designated one of the processing nodes, said defined condition being determined IF the local processor making reference is within the designated one of the processing nodes THEN determining the designated condition internally within the local processor making reference ELSE IF the local processor making reference is not within the designated one of the processing nodes THEN determining the designated condition by communication between the local processor making reference and the designated one of the plurality of processing nodes across the communications channel.

16. The method of operating a DDBMS of claim 12 wherein said RTDM procedure is further comprising as a last step following said supplying: acknowledging to a designated notification processor, which may be the local processor making reference, the receipt of the variable value responsively to the supplying.

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